

# ON CANONICAL SUBFIELD PRESERVING POLYNOMIALS

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ABSTRACT. Explicit monoid structure is provided for the class of canonical subfield preserving polynomials over finite fields. Some classical results and asymptotic estimates will follow as corollaries.

## 1. INTRODUCTION

Let  $q$  be a prime power and  $m$  a natural number. In [1] the structure of the group consisting of permutation polynomials [3] of  $\mathbb{F}_{q^m}$  having coefficients in the base field  $\mathbb{F}_q$  was made explicit. We start observing that, if  $f$  is a permutation of  $\mathbb{F}_{q^m}$  with coefficients in  $\mathbb{F}_q$  then

$$f(\mathbb{F}_q) = \mathbb{F}_q \quad \text{and} \quad \forall d, s \mid m \quad f(\mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}) = \mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}.$$

Indeed for any integer  $s \geq 1$ , since  $f$  has coefficients in  $\mathbb{F}_q$  and  $\mathbb{F}_{q^s}$  is a field, we have  $f(\mathbb{F}_{q^s}) \subseteq \mathbb{F}_{q^s}$ . Being  $f$  also a bijection, this is also an equality. The property above follows then directly (see also [1, Lemma 2]).

It is natural now to ask which are the polynomials  $f$ , having coefficients in  $\mathbb{F}_q$ , such that

$$(1.1) \quad f(\mathbb{F}_q) \subseteq \mathbb{F}_q \quad \text{and} \quad \forall d, s \mid m \quad f(\mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}) \subseteq \mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}.$$

Let us call  $T_q^m$  the set of such polynomials. We remark that this is a monoid under composition and its invertible elements  $(T_q^m)^*$  consist of the group of permutation polynomials with coefficients in  $\mathbb{F}_q$  mentioned above. In this paper we give the explicit semigroup structure of  $T_q^m$ , obtaining the main result of [1] (i.e. the group structure mentioned above) as a corollary. The explicit semigroup structure will allow us to compute the probability that a polynomial chosen uniformly at random having coefficients in  $\mathbb{F}_q$  satisfies condition (1.1). This will imply the following remarkable results:

- Given  $p$  prime, for  $q$  relatively large, the density of  $T_q^p$  is approximately zero.
- Given  $q$ , for  $p$  relatively large prime, the density of  $T_q^p$  is approximately one.

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- For  $q = p$  large prime the density of  $T_p^p$  is approximately  $1/e$ .

Indeed, Theorem 5.3 shows how the asymptotic density intrinsically depends on the ratio between  $p$  and  $q$  (to be compared with the trivial density in Theorem 5.1 and Corollary 5.2).

## 2. PRELIMINARY DEFINITIONS

**Definition 2.1.** We say  $f : \mathbb{F}_{q^m} \rightarrow \mathbb{F}_{q^m}$  to be *subfield preserving* if

$$(2.1) \quad f(\mathbb{F}_q) \subseteq \mathbb{F}_q \quad \text{and} \quad \forall d, s \mid m \quad f(\mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}) \subseteq \mathbb{F}_{q^d} \setminus \mathbb{F}_{q^s}.$$

Moreover, we will say  $f$  to be *q-canonical* if its polynomial representation has coefficients in  $\mathbb{F}_q$  (or simply *canonical* when  $q$  is understood).

**Remark 2.2.** One of the reason why we use the term *canonical* to address the property of having coefficients in a subfield is that, under this property, the induced application  $\tilde{f}$  of  $f(x)$  is always well defined no matter what irreducible polynomial we choose for the representation of the finite field extension  $\mathbb{F}_{q^m}$ .

Denote by  $\mathcal{L}_{\mathbb{F}_{q^m}}$  the set of all subfield preserving polynomials.

**Remark 2.3.** If we drop the condition on the coefficients, the semigroup structure becomes straightforward:

$$\mathcal{L}_{\mathbb{F}_{q^m}} \cong \prod_{k \mid m} M_{[k\pi(k)]}.$$

with  $\pi(k)$  being the number of monic irreducible polynomials of degree  $k$  over  $\mathbb{F}_q$  and  $M_{[n]}$  being the set of all maps from  $\{1, \dots, n\}$  to itself.

**Remark 2.4.** Clearly not all subfield preserving polynomials are canonical, which can also be checked by a cardinality count with the results later in the paper.

In the rest of the paper we will need the following lemma, whose proof can be easily adapted from [1] and [2].

**Lemma 2.5.** *Let  $f : \mathbb{F}_{q^m} \rightarrow \mathbb{F}_{q^m}$  be a map. Then  $f \in \mathbb{F}_q[x]$  if and only if  $f \circ \varphi_q = \varphi_q \circ f$  where  $\varphi_q(x) = x^q$ .*

Indeed the set of functions we are looking at consists of  $T_q^m = \mathcal{L}_{\mathbb{F}_{q^m}} \cap \mathcal{C}_{\varphi_q}$  where  $\mathcal{C}_{\varphi_q} := \{f : \mathbb{F}_{q^m} \rightarrow \mathbb{F}_{q^m} \mid f \circ \varphi_q = \varphi_q \circ f\}$ .

## 3. COMBINATORIAL UNDERPINNING

Let  $S$  be a finite set and  $\psi : S \rightarrow S$  a bijection. For any  $T \subseteq S$ , let

$$\mathcal{K}_\psi(T) := \{f : T \rightarrow T \mid \forall x \in T \ f \circ \psi(x) = \psi \circ f(x)\}.$$

For any partition  $\mathcal{P}$  of  $S$  into sets  $P_k$ , let

$$M_S(\mathcal{P}) := \{f : S \rightarrow S \mid \forall k \ f(P_k) \subseteq P_k\}.$$

When  $\mathcal{P} = \{S\}$  is the trivial partition, we will denote  $M_S(\{S\}) = M_S$  namely the monoid of applications from  $S$  to itself.

For any bijection  $\phi : S \rightarrow S$ , define  $\phi_k$  for any  $k$  as the composition of the cycles of  $\phi$  of length  $k$ , and set  $\phi_k = (\emptyset)$  if  $\phi$  has no cycles of length  $k$ . Let  $W$  denote the set  $\{1, \dots, |S|\}$ , then  $\phi = \prod_{k \in W, \phi_k \neq (\emptyset)} \phi_k$ . If  $\text{supp}(\phi_k)$  denotes the set of elements moved by  $\phi_k$ , then  $\phi$  induces a partition  $\mathcal{P}_\phi$  on  $S = \bigcup_{k \in W} S_k$ , with  $S_k = \text{supp}(\phi_k)$ , for  $k \geq 2$ , and  $S_1$  being the set of fixed points of  $\phi$ .

**Lemma 3.1.**

$$M_S(\mathcal{P}_\phi) \cap \mathcal{K}_\phi(S) \cong \times_{k \in W, \phi_k \neq (\emptyset)} \mathcal{K}_{\phi_k}(S_k)$$

*Proof.* Clearly any  $f \in \mathcal{K}_{\phi_k}(S_k)$  can be extended to  $S$  as the identity and then the extension  $\bar{f}$  belongs to  $\mathcal{K}_\phi(S) \cap M_S(\mathcal{P}_\phi)$ . Indeed we have a natural injection

$$\times_{k \in W, \phi_k \neq (\emptyset)} \mathcal{K}_{\phi_k}(S_k) \hookrightarrow M_S(\mathcal{P}_\phi) \cap \mathcal{K}_\phi(S).$$

This is also a surjection: in fact let  $f \in M_S(\mathcal{P}_\phi) \cap \mathcal{K}_\phi(S)$  and define

$$f_k(x) := \begin{cases} f(x) & \text{if } x \in S_k, \\ x & \text{otherwise.} \end{cases}$$

Since  $M_S(\mathcal{P}_\phi) \cap \mathcal{K}_\phi(S) \subseteq M_S(\mathcal{P}_\phi)$ , then  $f_k(S_k) \subseteq S_k$  which implies

$$f_k|_{S_k} \in \mathcal{K}_{\phi_k}(S_k).$$

As the  $S_k$  form a partition, the composition of all the  $f_k$  coincides with  $f$ .  $\square$

Now, for  $n, k \in \mathbb{N}$  let  $U_n^k$  be a set with  $kn$  elements and  $\psi$  a bijection of  $U_n^k$  having  $n$  cycles of length  $k$ . Let us put indices on the elements of the set in the following way: let  $a_{ij}$  be the  $j$ -th element of the  $i$ -th cycle, with  $i \in \{1, \dots, n\}$  and  $j \in \{1, \dots, k\}$ .

Let  $[h]$  denote  $\{1, \dots, h\}$  for a natural number  $h$ . We say  $\lambda : [h] \rightarrow [h]$  to be a cyclic shift of  $[h]$  if  $\lambda(j + \ell) = \lambda(j) + \ell$  modulo  $h$  for any  $j, \ell \in [h]$ .

Let  $\gamma_1, \dots, \gamma_n$  be cyclic shifts of  $[k]$  and  $\sigma : [n] \rightarrow [n]$  a map. We construct then  $f_\sigma^\gamma : U_n^k \rightarrow U_n^k$  as follows:

$$f_\sigma^\gamma(a_{ij}) := a_{\sigma(i)\gamma_i(j)}.$$

**Theorem 3.2.**  $g \in \mathcal{K}_\psi(U_n^k) \iff \exists \gamma := (\gamma_1, \dots, \gamma_n)$ ,  $\gamma_i$  cyclic shifts of  $[k]$ , and  $\exists \sigma : [n] \rightarrow [n]$  map such that  $g = f_\sigma^\gamma$ .

*Proof.* Suppose first  $g \in \mathcal{K}_\psi(U_n^k)$ . Then

$$g(a_{ij}) = g(\psi^{j-1}(a_{i1})) = \psi^{j-1}(g(a_{i1})).$$

Define  $\sigma(i) := [g(a_{i1})]_1$  and  $\gamma_i(j) := [g(a_{ij})]_2$ , where the subscripts  $[x]_1$  and  $[x]_2$  refer to the two indices of  $x \in U_n^k$  in the representation  $a_{ij}$  above.

Observe that for all  $i \in [n]$ ,  $\gamma_i$  is a cyclic shift, indeed it holds modulo  $k$ :

$$\begin{aligned} \gamma_i(j + \ell) &= [g(a_{i \ j+\ell})]_2 = [g(\psi^\ell(a_{ij}))]_2 = \\ &[\psi^\ell(g(a_{ij}))]_2 = [g(a_{ij})]_2 + \ell = \gamma_i(j) + \ell. \end{aligned}$$

Moreover remark that

$$\begin{aligned} g(a_{ij}) &= g(\psi^{j-1}(a_{i1})) = \psi^{j-1}(g(a_{i1})) = \psi^{j-1}(a_{\sigma(i)\gamma_i(1)}) = \\ &a_{\sigma(i) \ \gamma_i(1)+j-1} = a_{\sigma(i)\gamma_i(j)} = f_\sigma^\gamma(a_{ij}). \end{aligned}$$

Let us prove now the other implication:

$$\begin{aligned} \psi(f_\sigma^\gamma(a_{ij})) &= \psi(a_{\sigma(i)\gamma_i(j)}) = a_{\sigma(i) \ \gamma_i(j)+1} = \\ &a_{\sigma(i)\gamma_i(j+1)} = f_\sigma^\gamma(a_{i \ j+1}) = f_\sigma^\gamma(\psi(a_{ij})) \end{aligned}$$

for all  $i \in [n]$  and  $j \in [k]$ . □

**3.1. Semidirect product of monoids.** We now recall the definition of semidirect product of monoids

**Definition 3.3.** Let  $M, N$  be monoids and let  $\Gamma : M \rightarrow \text{End}(N)$  with  $m \mapsto \Gamma_m$  be an antihomomorphism of monoids (i.e.  $\Gamma_{m_1 m_2} = \Gamma_{m_2} \circ \Gamma_{m_1}$ ). We define  $M \rtimes_\Gamma N$  as the monoid having support  $M \times N$  and operation  $*$  defined by the formula

$$(m_1, n_1) * (m_2, n_2) = (m_1 m_2, \Gamma_{m_2}(n_1) n_2)$$

**Remark 3.4.** It is straightforward to verify that the associative property holds.

We will now prove an easy lemma that will be useful in Section 4. For any monoid  $H$  let us denote by  $H^*$  the group of invertible elements of  $H$ .

**Lemma 3.5.** *Let  $M \ltimes G$  be a semidirect product of monoids where  $G$  is a group. Then*

$$(M \ltimes G)^* = M^* \ltimes G$$

*Proof.* The inclusion  $(M \ltimes G)^* \subseteq M^* \ltimes G$  is trivial, since if  $(m, g) \in (M \ltimes G)^*$  then there exists  $(m', g')$  such that

$$(m, g) * (m', g') = (e_1, e_2)$$

so  $mm' = e_1$  identity element of  $M$ . Let us now prove  $(M \ltimes G)^* \supseteq M^* \ltimes G$ . Let  $(m, g) \in M^* \ltimes G$ , then its inverse is  $(m^{-1}, \Gamma_{m^{-1}}(g^{-1}))$ .  $\square$

We are now ready to prove the main proposition of this section as a corollary of Theorem 3.2.

We first observe that the set of cyclic shifts of  $[k]$  is clearly isomorphic to  $C_k$ , the cyclic group of order  $k$ , and each cyclic shift can be identified by its action on 1.

**Corollary 3.6.**

$$\mathcal{K}_\psi(U_n^k) \cong M_{[n]} \ltimes_\Gamma C_k^n$$

where  $\Gamma$  is defined by

$$\Gamma(\sigma)(\gamma) := \Gamma_\sigma(\gamma) := \gamma_\sigma := (\gamma_{\sigma(1)}, \dots, \gamma_{\sigma(n)})$$

for any  $\gamma \in C_k^n$ .

*Proof.* The reader should first observe

$$\Gamma_\mu(\gamma_{\sigma(1)}, \dots, \gamma_{\sigma(n)}) = (\gamma_{\sigma(\mu(1))}, \dots, \gamma_{\sigma(\mu(i))}, \dots, \gamma_{\sigma(\mu(n))})$$

for any  $\sigma, \mu \in M_{[n]}$ . This can be easily seen by denoting  $\gamma_{\sigma(i)} =: g_i$ . Therefore,  $\Gamma$  is an antihomomorphism, as we wanted:

$$\begin{aligned} \Gamma(\sigma\mu)(\gamma) &= \gamma_{\sigma\mu} = (\gamma_{\sigma(\mu(1))}, \dots, \gamma_{\sigma(\mu(i))}, \dots, \gamma_{\sigma(\mu(n))}) = \\ &= \Gamma_\mu(\gamma_{\sigma(1)}, \dots, \gamma_{\sigma(n)}) = \Gamma_\mu \circ \Gamma_\sigma(\gamma). \end{aligned}$$

Let

$$\begin{aligned} \Delta : M_{[n]} \ltimes C_k^n &\longrightarrow \mathcal{K}_\psi(U_n^k) \\ (\sigma, \gamma) &\mapsto f_\sigma^\gamma. \end{aligned}$$

$\Delta$  is clearly a bijection by Theorem 3.2. It is also an automorphism since

$$\begin{aligned} \Delta((\bar{\sigma}, \bar{\gamma}) * (\sigma, \gamma))(a_{i,j}) &= \Delta(\bar{\sigma}\sigma, \bar{\gamma}\sigma\gamma)(a_{i,j}) = f_{\bar{\sigma}\sigma}^{\bar{\gamma}\sigma\gamma}(a_{i,j}) = \\ &= f_{\bar{\sigma}\sigma(i), \bar{\gamma}\sigma(i)\gamma(i,j)}^{\bar{\gamma}\sigma\gamma} = f_{\bar{\sigma}}^{\bar{\gamma}}(a_{\sigma(i), \gamma(i,j)}) = f_{\bar{\sigma}}^{\bar{\gamma}} \circ f_\sigma^\gamma(a_{i,j}) = \\ &= (\Delta(\bar{\sigma}, \bar{\gamma}) \circ \Delta(\sigma, \gamma))(a_{i,j}) \end{aligned}$$

for all  $i \in [n]$  and all  $j \in [k]$ .  $\square$

4. SEMIGROUP STRUCTURE OF  $T_q^m$ 

Consider now  $T_q^m$  and notice that, since  $M_{\mathbb{F}_{q^m}}(\mathcal{P}_{\varphi_q}) = \mathcal{L}_{\mathbb{F}_{q^m}}$  and  $\mathcal{K}_{\varphi_q}(\mathbb{F}_{q^m}) = \mathcal{C}_{\varphi_q}$ , then we have

$$(4.1) \quad T_q^m = \mathcal{L}_{\mathbb{F}_{q^m}} \cap \mathcal{C}_{\varphi_q} = M_{\mathbb{F}_{q^m}}(\mathcal{P}_{\varphi_q}) \cap \mathcal{K}_{\varphi_q}(\mathbb{F}_{q^m}).$$

Indeed the condition

$$f(S_k) \subseteq S_k$$

for each  $S_k$  in the partition induced by  $\varphi_q$  is equivalent to the subfield preserving requirement (2.1), being

$$S_1 = \mathbb{F}_q \quad \text{and} \quad S_k = \bigcap_{a|k, a \neq k} (\mathbb{F}_{q^k} \setminus \mathbb{F}_{q^a}) \quad \text{for } k \geq 2.$$

Any element  $\alpha$  in a cycle of length  $d$  is associated to the irreducible polynomial  $\prod_{i=0}^{d-1} (x - \alpha^{q^i}) \in \mathbb{F}_q[x]$ , so there is a bijection between the cycles of  $\varphi_q$  of length  $d$  and the monic irreducible polynomials of degree  $d$  over  $\mathbb{F}_q$ , whose cardinality is

$$\pi(d) = \frac{1}{d} \sum_{j|d} \mu(d/j) q^j$$

with  $\mu$  being the Moebius function. Now, write

$$\varphi_q = \prod_{k|m} \phi_k$$

similarly as above with  $\phi = \varphi_q$  and label the elements of the finite field as follow:  $a_{i,j}^{(k)}$  is the  $j$ -th element living in the  $i$ -th  $k$ -cycle.

**Example 4.1.** Let  $\mathbb{F}_{2^2} = \mathbb{F}_2[\alpha]/(\alpha^2 + \alpha + 1)$  consisting of  $\{0, 1, \alpha, \alpha + 1\}$ . Indeed

$$\varphi_q = \phi_1 \phi_2 = (0)(1)(\alpha, \alpha + 1)$$

and then  $a_{1,1}^{(1)} = 0$ ,  $a_{2,1}^{(1)} = 1$ ,  $a_{1,1}^{(2)} = \alpha$  and  $a_{1,2}^{(2)} = \alpha + 1$ .

**Theorem 4.2.**

$$(4.2) \quad T_q^m \cong \times_{k|m} M_{[\pi(k)]} \rtimes C_k^{\pi(k)}$$

*Proof.* It follows from Lemma 3.1 and Corollary 3.6 using the partition induced by the Frobenius morphism. Indeed, using equation 4.1 and Lemma 3.1 we get

$$T_q^m \cong \times_{k \in W, \phi_k \neq (\emptyset)} \mathcal{K}_{\phi_k}(S_k).$$

Using now Corollary 3.6 we get

$$T_q^m \cong \times_{k|m} M_{[\pi(k)]} \rtimes C_k^{\pi(k)}.$$

More explicitly, the action of  $t \in \times_{k|m} M_{[\pi(k)]} \rtimes C_k^{\pi(k)}$  on an element  $a_{i,j}^{(k)} \in S_k \subseteq \mathbb{F}_{q^m}$  is given by

$$t(a_{i,j}^{(k)}) = (\sigma^{(k)}, \gamma^{(k)})(a_{i,j}^{(k)}) = f_{\sigma^{(k)}}^{\gamma^{(k)}}(a_{i,j}^{(k)}) = a_{\sigma^{(k)}(i), \gamma_i^{(k)}(j)}^{(k)}$$

where  $\gamma^{(k)}$  and  $\sigma^{(k)}$  are the components indexed by  $k$ .  $\square$

**Corollary 4.3.**

$$(T_q^m)^* \cong \times_{k|m} \mathcal{S}_{\pi(k)} \rtimes C_k^{\pi(k)}$$

where  $\mathcal{S}_{\pi(k)}$  is the permutation group of  $\pi(k)$  elements.

*Proof.* Observe that

$$(T_q^m)^* \cong \times_{k|m} (M_{[\pi(k)]} \rtimes C_k^{\pi(k)})^*$$

holds trivially. Applying now Lemma 3.5 yields

$$(T_q^m)^* \cong \times_{k|m} (M_{[\pi(k)]} \rtimes C_k^{\pi(k)})^* \cong \times_{k|m} \mathcal{S}_{\pi(k)} \rtimes C_k^{\pi(k)}.$$

$\square$

**Corollary 4.4.**

$$|T_q^m| = \prod_{k|m} k^{\pi(k)} \pi(k)^{\pi(k)}$$

$$|(T_q^m)^*| = \prod_{k|m} k^{\pi(k)} \pi(k)!$$

**Remark 4.5.** Corollary 4.3 corresponds to [1, Theorem 2] and Corollary 4.4 generalizes the corollary of [1, Theorem 2].

**Remark 4.6.** Let us observe that a simpler construction as a direct product for  $(T_q^m)^*$  can also be seen as follows:

- First notice that any permutation polynomial over  $\mathbb{F}_q$  can be extended to a permutation polynomial over  $\mathbb{F}_{q^m}$  with coefficients in  $\mathbb{F}_q$  by simply defining it as the identity function on  $\mathbb{F}_{q^m} \setminus \mathbb{F}_q$  and Lagrange interpolating over the whole field. The produced permutation polynomial over  $\mathbb{F}_{q^m}$  has coefficients in  $\mathbb{F}_q$ , since it commutes with  $\varphi_q$ , which is easily checked by looking at the base field and the rest separately.
- $(T_q^m)^*$  has then a normal subgroup isomorphic to  $\mathcal{S}_q$  consisting of

$$\{s \in (T_q^m)^* \mid s \text{ is the identity on } \mathbb{F}_{q^m} \setminus \mathbb{F}_q\}.$$

- Let

$$H_q^m := \{h \in (T_q^m)^* \mid h \text{ is the identity on } \mathbb{F}_q\}.$$

$H_q^m$  is also normal in  $(T_q^m)^*$ .

- $\mathcal{S}_q \times H_q^m = (T_q^m)^*$ . Indeed note first that  $H_q^m \cap \mathcal{S}_q = 1$ . Now given  $f \in (T_q^m)^*$  we have to prove that it can be written as a composition of an element of  $H_q^m$  and an element of  $\mathcal{S}_q$ . Let  $s_2 \in \mathcal{S}_q$  such that  $s_2$  restricted to  $\mathbb{F}_q$  is  $f$ . Let  $s_1 \in \mathcal{S}_q$  such that  $s_1$  restricted to  $\mathbb{F}_q$  is the inverse permutation of the restriction of  $f$  to  $\mathbb{F}_q$ . In other words  $f \circ s_1$  restricted to  $\mathbb{F}_q$  is the identity. Observe then that, since  $f \circ s_1$  has also coefficients in  $\mathbb{F}_q$ , it lives in  $H_q^m$ . Verify that  $s_2 \circ f \circ s_1 = f$ . And so we have written  $f$  as a composition of an element of  $\mathcal{S}_q$  and an element of  $H_q^m$ .

## 5. ASYMPTOTIC DENSITY OF $T_q^m$

Let us first compute the asymptotic density of the group of permutation polynomials described in [1] inside the whole group of permutation polynomials, and inside the monoid of the polynomial functions having coefficients in the subfield  $\mathbb{F}_q$ . We will restrict to the case  $\mathbb{F}_{q^p}$ ,  $p$  prime.

**Theorem 5.1.** *Consider an element of  $\mathbb{F}_q[x]/(x^{q^p} - x)$  chosen uniformly at random. The probability that this is a permutation polynomial tends to 0 as  $p$  and/or  $q$  tends to  $\infty$ .*

*Proof.* Given Corollary 4.4, we need to consider

$$L := \lim_{p \vee q \rightarrow \infty} \frac{q!(p)^{\frac{q^p-q}{p}} \left(\frac{q^p-q}{p}\right)!}{q^{q^p}}.$$

By Stirling approximation this is

$$L = \lim_{p \vee q \rightarrow \infty} \frac{q!(p)^{\frac{q^p-q}{p}} \left(\frac{q^p-q}{pe}\right)^{\frac{q^p-q}{p}} \sqrt{2\pi \frac{q^p-q}{p}}}{q^{q^p}}.$$

Now notice that

$$\lim_{p \vee q \rightarrow \infty} \left(\frac{q^p - q}{q^p}\right)^{\frac{q^p-q}{p}} = \lim_{p \vee q \rightarrow \infty} \left(1 - \frac{1}{q^{p-1}}\right)^{q^{p-1} \cdot \frac{q-q^{2-p}}{p}}$$

By the continuity of the exponential function, this can be written as

$$\lim_{p \vee q \rightarrow \infty} e^{\frac{q-q^{2-p}}{p} \ln\left(1 - \frac{1}{q^{p-1}}\right)^{q^{p-1}}} = e^{-\lim_{p \vee q \rightarrow \infty} \frac{q}{p}}$$

so that

$$L = \lim_{p \vee q \rightarrow \infty} \frac{q!(q^p)^{\frac{q^p-q}{p}} e^{-\frac{q}{p}} \sqrt{2\pi \frac{q^p-q}{p}}}{q^{q^p} e^{\frac{q^p-q}{p}}}.$$



$$= \lim_{p \vee q \rightarrow \infty} \frac{q! e^{-\frac{q}{p}} \sqrt{2\pi \frac{q^p - q}{p}}}{q^q e^{\frac{q^p - q}{p}}} = 0,$$

as one can easily see by exploring the cases  $q \rightarrow \infty$  with Stirling and  $q$  fixed.  $\square$

By observing that  $q^p! > q^{q^p}$  definitively for large  $p$  and/or  $q$ , we have also the following:

**Corollary 5.2.** *Consider a permutation of the set  $\mathbb{F}_{q^m}$  chosen uniformly at random. The probability that its associated permutation polynomial has coefficients in the subfield  $\mathbb{F}_q$  tends to 0 as  $p$  and/or  $q$  tends to  $\infty$ .*

We are now interested in an asymptotic estimate for the density of  $T_q^p$  in  $\mathbb{F}_q[x]/(x^{q^p} - x)$  for  $p$  prime number. We will show in fact that the monoid of canonical subfield preserving polynomials has nontrivial density inside the monoid of polynomial functions having coefficients in the subfield  $\mathbb{F}_q$ . Given Corollary 4.4, the probability that an element of  $\mathbb{F}_q[x]/(x^{q^p} - x)$  chosen uniformly at random is subfield preserving is

$$\frac{|T_q^p|}{q^{q^p}} = \frac{q^q (q^p - q)^{\frac{q^p - q}{p}}}{q^{q^p}}.$$

**Theorem 5.3.** *Consider an element of  $\mathbb{F}_q[x]/(x^{q^p} - x)$  chosen uniformly at random. The probability that this is subfield preserving tends to  $e^{-\lim_{p \vee q \rightarrow \infty} \frac{q}{p}}$  as  $p$  and/or  $q$  tends to  $\infty$ .*

*Proof.* We need to consider

$$\ell := \lim_{p \vee q \rightarrow \infty} \frac{q^q (q^p - q)^{\frac{q^p - q}{p}}}{q^{q^p}}.$$

With similar arguments as in Theorem 5.1, this transforms to

$$\ell = \lim_{p \vee q \rightarrow \infty} \frac{q^q (q^p)^{\frac{q^p - q}{p}}}{q^{q^p}} e^{-\frac{q}{p}} = e^{-\lim_{p \vee q \rightarrow \infty} \frac{q}{p}}$$

$\square$

**Corollary 5.4.**

- $\lim_{p \rightarrow \infty} \frac{|T_q^p|}{q^{q^p}} = 1$ , if  $q$  is fixed.
- $\lim_{q \rightarrow \infty} \frac{|T_q^p|}{q^{q^p}} = 0$ , if  $p$  is fixed.

**Corollary 5.5.** *Let  $q = p$ .*

$$\lim_{p \rightarrow \infty} \frac{|T_p^p|}{p^{p^p}} = 1/e$$

**Remark 5.6.** Clearly all the limits above are computed for  $p$  and  $q$  running over the natural numbers, but they hold in particular for the subsequences of increasing primes  $p$  and possible orders of finite fields  $q$ .

## 6. EXAMPLE

Let us consider the structure of  $T_2^2$  as an example. Let  $\alpha$  be a root of  $x^2 + x + 1 = 0$ , so that  $\mathbb{F}_{2^2} = \mathbb{F}_2[\alpha]/(\alpha^2 + \alpha + 1)$ . It is easy to check that for each polynomial  $f \in L$  with

$$L := \{0, 1, x^2 + x, x^2 + x + 1, x^3, x^3 + 1, x^3 + x^2 + x, x^3 + x^2 + x + 1\}$$

we have  $f(\alpha) \in \mathbb{F}_2$ . We know that  $T_2^2$  contains 8 polynomials, so that

$$T_2^2 = \frac{\mathbb{F}_2[x]}{(x^4 - x)} \setminus L =$$

$$\{x, x + 1, x^2, x^2 + 1, x^3 + x^2 + 1, x^3 + x, x^3 + x^2, x^3 + x + 1\}.$$

The structure is  $C_2 \times M_2$ .

Indeed  $C_1^2 \times M_2 = M_2$  and consists of

$$\{x, x^2 + 1, x^3 + x^2, x^3 + x + 1\}$$

that is those functions which fix  $\mathbb{F}_4 \setminus \mathbb{F}_2$  and act as  $M_2$  on  $\mathbb{F}_2$ .

Also  $C_2 \times M_1 = C_2$  and consists of

$$\{x, x^2\}$$

that is those functions which fix  $\mathbb{F}_2$  and act as  $C_2$  on  $\mathbb{F}_4 \setminus \mathbb{F}_2$ . This is also  $H_2^2$ .

## 7. CONCLUSIONS

The set of canonical subfield preserving polynomials has been studied and a monoid structure has been provided via combinatorial arguments (Section 3 and 4). The density of this set has been addressed yielding curious results at least for the case of prime degree extension (Section 5). A simple example has also been given (Section 6).

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