# ON BALAZARD, SAIAS, AND YOR'S EQUIVALENCE TO THE RIEMANN HYPOTHESIS

# H. M. BUI, S. J. LESTER, AND M. B. MILINOVICH

ABSTRACT. Balazard, Saias, and Yor proved that the Riemann Hypothesis is equivalent to a certain weighted integral of the logarithm of the Riemann zeta-function along the critical line equaling zero. Assuming the Riemann Hypothesis, we investigate the rate at which a truncated version of this integral tends to zero, answering a question of Borwein, Bradley, and Crandall and disproving a conjecture of the same authors. A simple modification of our techniques gives a new proof of a classical Omega theorem for the function S(t) in the theory of the Riemann zeta-function.

### 1. INTRODUCTION

Let  $\zeta(s)$  denote the Riemann zeta-function. In [1], Balazard, Saias, and Yor gave an elegant proof of the formula

$$\int_{\Re(s)=1/2} \frac{\log|\zeta(s)|}{|s|^2} |ds| = 2\pi \sum_{\beta > 1/2} \log\left|\frac{\rho}{1-\rho}\right|,\tag{1.1}$$

where the sum runs over the nontrivial zeros  $\rho = \beta + i\gamma$  of  $\zeta(s)$  with real part strictly greater than 1/2. Since the Riemann Hypothesis (RH) states that  $\beta = 1/2$  for all the nontrivial zeros of  $\zeta(s)$ , it follows that RH is equivalent to the expression

$$\int_{\Re(s)=1/2} \frac{\log |\zeta(s)|}{|s|^2} |ds| = 0.$$
(1.2)

This equivalence led Borwein, Bradley, and Crandall [2] to study the function

$$I(T) = \int_{-T}^{T} \frac{\log |\zeta(\frac{1}{2} + it)|}{\frac{1}{4} + t^2} dt.$$

Since by (1.2), RH is equivalent to the assertion that  $I(T) \to 0$  as  $T \to \infty$ , they asked the following question: What are the admissible positive values of  $\alpha$  such that  $I(T) = O(T^{-\alpha})$  as  $T \to \infty$  on RH? Based upon numerical evidence, they conjectured that  $I(T) = O(T^{-2})$ .

In this note, we answer their question and disprove their conjecture by showing that  $I(T) = O(T^{-\alpha})$  for any fixed positive  $\alpha < 2$  as  $T \to \infty$ , but that  $I(T) \neq O(T^{-2})$ . Precisely, we prove the following theorem.

Theorem 1.1. Assume RH. Then we have

$$I(T) = O\left(\frac{1}{T^2} \frac{\log T}{(\log \log T)^2}\right)$$
(1.3)

and

$$I(T) = \Omega\left(\frac{1}{T^2} \frac{\sqrt{\log T}}{(\log \log T)^{3/2}}\right)$$
(1.4)

as  $T \to \infty$ .

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Additionally, by estimating the tails of the integral in (1.1) we obtain an unconditional formula for I(T) in terms of the nontrivial zeros of the Riemann zeta-function.

**Theorem 1.2.** For  $T \geq 3$ , we have

$$I(T) = 2\pi \sum_{\substack{-T \le \gamma \le T \\ \beta > 1/2}} \log \left| \frac{\rho}{1 - \rho} \right| + O\left(\frac{1}{T^2} \log T\right).$$
(1.5)

Through a straightforward modification of our argument it can be shown that the Lindelöf Hypothesis implies that the error term in (1.5) is  $o(T^{-2} \log T)$  as  $T \to \infty$ . We remark that the proof of Theorem 1.2 does not give a new proof of (1.1) since we merely truncate the integral. However, we will show how to adapt the method used to prove Theorem 1.2 to give a simple, new proof of (1.1) that relies only on standard techniques in complex analysis.

In the final section, we give a new proof of a classical Omega theorem of Montgomery for the function S(t).

### 2. VARIOUS LEMMAS

Our first two lemmas concern integrals of the logarithm of the Riemann zeta-function (one unconditional and the other conditional upon RH).

**Lemma 2.1.** Uniformly for  $1 \le c \le 2$  and  $t \ge 3$  we have

$$\int_{1/2}^{c} \left| \log \zeta(\sigma + it) \right| d\sigma \ll \log t$$

*Proof.* See Lemma  $\beta$  of Titchmarsh [11].

**Lemma 2.2.** Assume RH. Then for  $t \ge T \ge 3$  we have

$$\int_T^t \log \left| \zeta(\frac{1}{2} + iu) \right| du \ll \frac{\log t}{(\log \log t)^2}.$$

Proof. Under the assumption of RH, Cauchy's theorem implies that

$$\int_{T}^{t} \log \left| \zeta(\frac{1}{2} + iu) \right| du = -\int_{1/2}^{3/2} \arg \zeta(\sigma + it) \, d\sigma + \int_{1/2}^{3/2} \arg \zeta(\sigma + iT) \, d\sigma + O(1).$$

We will bound the first integral on the right-hand side of this equation. The second integral can be handled similarly.

Let  $\sigma_t = 1/2 + (\log \log t)^{-1}$  and write

$$\int_{1/2}^{3/2} \arg \zeta(\sigma + it) \, d\sigma = I_1 + I_2 + I_3, \tag{2.1}$$

where  $I_1$  is the portion of the integral over  $[1/2, \sigma_t)$ ,  $I_2$  is the portion over  $[\sigma_t, 3/4)$ , and  $I_3$  is the portion over [3/4, 3/2]. By Theorem 13.21 of [9], we have  $\arg \zeta(\sigma + it) \ll \log t / \log \log t$ for  $\sigma \ge 1/2$ . Thus,

$$I_1 \ll \frac{\log t}{(\log \log t)^2}.$$

For  $\sigma_t \leq \sigma < 3/4$  it follows from Corollary 13.16 of [9] that  $\arg \zeta(\sigma+it) \ll (\log t)^{(2-2\sigma)}/\log\log t$ . Hence

$$I_2 \ll \frac{\log t}{(\log \log t)^2}.$$

Finally, Corollary 13.16 of [9] also implies that  $\arg \zeta(\sigma + it) \ll (\log t)^{1/2}$  uniformly for  $3/4 \leq \sigma \leq 3/2$ , and we have

$$I_3 \ll (\log t)^{1/2}.$$

The lemma now follows by inserting the estimates for  $I_1, I_2$  and  $I_3$  into (2.1).

Next we prove two key lemmas which are used to prove the estimate (1.4) in Theorem 1.1. **Lemma 2.3.** Assume RH. For any sequence of complex numbers  $\{r(n)\}$  let

$$R(t) = \sum_{n \le N} \frac{r(n)}{n^{it}}.$$

Then uniformly for  $1/2 \leq \alpha \leq 2$ ,  $h \in \mathbb{R}$ , N > 1,  $T \geq 3$ , and  $\varepsilon > 0$  we have

$$\int_{T}^{2T} \log \zeta(\alpha + it + ih) \left| R(t) \right|^2 dt = T \sum_{mn \le N} \frac{\Lambda(n) r(m) \overline{r(mn)}}{n^{\alpha + ih} \log n} + O\left( N(\log TN)^{3/2 + \varepsilon} \sum_{n \le N} |r(n)|^2 \right).$$

*Proof.* Let  $c = 1 + (\log N)^{-1}$ ,  $\mathcal{R}(s) = \sum_{n \leq N} r(n) n^{-s}$ , and  $\overline{\mathcal{R}}(s) = \sum_{n \leq N} \overline{r(n)} n^{-s}$ . We shall consider the case  $1/2 \leq \alpha \leq c$ . The remaining case  $c \leq \alpha \leq 2$  is treated similarly to  $\mathcal{I}_3$  below. By the elementary inequality  $2|ab| \le |a|^2 + |b|^2$  it follows that

$$|r(m)r(n)| \left(\frac{m}{n}\right)^{\sigma-\alpha} \le \frac{1}{2} \left(\frac{|r(m)|^2 \Delta}{n^{2(\sigma-\alpha)}} + \frac{|r(n)|^2 m^{2(\sigma-\alpha)}}{\Delta}\right)$$

for any  $\Delta > 0$ . Thus,

$$\begin{aligned} \left| \mathcal{R}(s - \alpha - ih) \overline{\mathcal{R}}(\alpha + ih - s) \right| &\leq \sum_{m,n \leq N} |r(m)r(n)| \left(\frac{m}{n}\right)^{\sigma - \alpha} \\ &\ll \left( \Delta \sum_{m \leq N} \frac{1}{m^{2(\sigma - \alpha)}} + \frac{1}{\Delta} \sum_{m \leq N} m^{2(\sigma - \alpha)} \right) \sum_{n \leq N} |r(n)|^2 \\ &\ll \left( \Delta N^{1 - 2(\sigma - \alpha)} \log N + \frac{N^{1 + 2(\sigma - \alpha)}}{\Delta} \right) \sum_{n \leq N} |r(n)|^2 \end{aligned}$$

uniformly for  $\alpha \leq \sigma \leq c$ . Choosing  $\Delta = N^{2(\sigma-\alpha)}(\log N)^{-1/2}$ , we conclude that

$$\left|\mathcal{R}(s-\alpha-ih)\overline{\mathcal{R}}(\alpha+ih-s)\right| \ll N(\log N)^{1/2} \sum_{n \le N} |r(n)|^2 \tag{2.2}$$

uniformly for  $\alpha \leq \sigma \leq c$ .

Let  $\mathscr{C}$  be the positively oriented rectangle with vertices at  $\alpha + i(T+h)$ , c + i(T+h), c + i(2T + h), and  $\alpha + i(2T + h)$ . We write

$$i \int_{\mathscr{C}} \log \zeta(s) \,\mathcal{R}(s - \alpha - ih) \,\overline{\mathcal{R}}(\alpha + ih - s) \,ds = \mathcal{I}_1 + \mathcal{I}_2 + \mathcal{I}_3 + \mathcal{I}_4$$

where  $\mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3, \mathcal{I}_4$  are the parts of the integral over the left, bottom, right, and top edges of  $\mathscr{C}$ , respectively. Cauchy's theorem implies that

$$\mathcal{I}_1 + \mathcal{I}_2 + \mathcal{I}_3 + \mathcal{I}_4 = 0.$$

Thus, after an obvious variable change, we have

$$\int_{T}^{2T} \log \zeta(\alpha + it + ih) \left| R(t) \right|^{2} dt = -\mathcal{I}_{3} + O(|\mathcal{I}_{2}| + |\mathcal{I}_{4}|).$$
(2.3)

By (2.2) and Lemma 2.1 we have

$$|\mathcal{I}_2| + |\mathcal{I}_4| \ll N(\log NT)^{3/2} \sum_{n \le N} |r(n)|^2.$$
 (2.4)

It remains to estimate  $\mathcal{I}_3$ .

In  $\mathcal{I}_3$ , we express  $\log \zeta(s)$  as an absolutely convergent Dirichlet, interchange summation and integration, and then integrate term-by-term to obtain

$$-I_3 = T \sum_{mn \le N} \frac{\Lambda(n)r(m)\overline{r(mn)}}{n^{\alpha+ih}\log n} + O\left(\sum_{k=2}^{\infty} \sum_{\substack{m,n \le N\\n \ne km}} \frac{\Lambda(k)}{k^c \log k} \frac{|r(m)r(n)|}{|\log \frac{n}{km}|} \left(\frac{n}{m}\right)^{c-\alpha}\right).$$
(2.5)

To bound the error term, we first note that

$$\sum_{\substack{m,n \leq N \\ n \neq km}} \frac{|r(m)r(n)|}{|\log \frac{n}{km}|} \left(\frac{n}{m}\right)^{c-\alpha} \ll \Delta \sum_{n \leq N} |r(n)|^2 \sum_{\substack{m \leq N \\ n \neq km}} \frac{1}{m^{2(c-\alpha)}|\log \frac{n}{km}|} + \frac{1}{\Delta} \sum_{m \leq N} |r(m)|^2 \sum_{\substack{n \leq N \\ n \neq km}} \frac{n^{2(c-\alpha)}}{|\log \frac{n}{km}|}$$

for any  $\Delta > 0$ . Next, using standard techniques, we have

$$\sum_{\substack{m \le N \\ n \ne km}} \frac{1}{m^{2(c-\alpha)} |\log \frac{n}{km}|} \ll N^{1-2(c-\alpha)} (\log N)^2 \quad \text{and} \quad \sum_{\substack{n \le N \\ n \ne km}} \frac{n^{2(c-\alpha)}}{|\log \frac{n}{km}|} \ll N^{1+2(c-\alpha)} \log N$$

uniformly in k. Hence

$$\sum_{\substack{m,n\leq N\\n\neq km}} \frac{|r(m)r(n)|}{|\log\frac{n}{km}|} \left(\frac{n}{m}\right)^{c-\alpha} \ll \left(\Delta N^{1-2(c-\alpha)}(\log N)^2 + \frac{N^{1+2(c-\alpha)}\log N}{\Delta}\right) \sum_{n\leq N} |r(n)|^2.$$

Choosing  $\Delta = N^{2(c-\alpha)} (\log N)^{-1/2}$ , it follows that the big-O term in (2.5) is

$$\ll N(\log N)^{3/2} \sum_{k=2}^{\infty} \frac{\Lambda(k)}{k^c \log k} \sum_{n \le N} |r(n)|^2 \ll N(\log N)^{3/2} \log \log N \sum_{n \le N} |r(n)|^2.$$
  
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The lemma now follows from this estimate and (2.3)–(2.5).

**Lemma 2.4.** Let  $\mu$  and  $\nu$  be fixed non-negative integers, N > 1, and  $h \in [0, (\log \log N)^{-1}]$ . Then there exist two real-valued arithmetic functions  $r^{\pm}(n)$  and a positive constant C (depending on  $\mu$  and  $\nu$ ) such that

$$\sum_{mn \le N} \frac{\Lambda(n) \sin^{\mu}(h \log n) r^{+}(m) r^{+}(mn)}{\sqrt{n} (\log n)^{\nu}} \bigg/ \sum_{n \le N} |r^{+}(n)|^{2} \ge C h^{\mu} (\log N)^{1/2} (\log \log N)^{\mu-\nu+1/2}$$

and

$$\sum_{mn \le N} \frac{\Lambda(n) \sin^{\mu}(h \log n) r^{-}(m) r^{-}(mn)}{\sqrt{n} (\log n)^{\nu}} \bigg/ \sum_{n \le N} |r^{-}(n)|^2 \le -C h^{\mu} (\log N)^{1/2} (\log \log N)^{\mu-\nu+1/2}.$$

*Proof.* Our proof of this lemma is based upon the ideas in the proof of Theorem 2.1 of Soundararajan [10]. We shall prove the first inequality. The second inequality can be proved similarly by choosing  $r^{-}(n) = \mu(n)r(n)$ , where  $\mu(n)$  is the Möbius function and r(n) is defined below. Throughout the proof, the letter p denotes a prime number.

We choose  $r^+(n)$  to be the multiplicative function r(n) supported on square-free integers and defined on primes p by

$$r(p) = \begin{cases} \frac{L(\log p)^{\nu}}{\sqrt{p}}, & \text{if } A$$

Here the parameters A, B and L are chosen so that

$$A = L^2 (\log L)^{2\nu+1}, \quad B = L^3, \text{ and } L^2 (\log B)^{2\nu+1} = (2\nu+1) \log N.$$

We note that with our choice we have  $r(p) \ll 1$ ,  $L \asymp (\log N)^{1/2} (\log \log N)^{-\nu - 1/2}$ , and  $\log B < (3/2) \log \log N$ , so that  $\sin(h \log p) > (h \log p)/2$  for  $h \in [0, (\log \log N)^{-1}]$  and p < B.

With  $r^+(n) = r(n)$ , the denominator on the left-hand side of the first inequality is

$$\sum_{n \le N} |r(n)|^2 \le \sum_{n=1}^{\infty} r(n)^2 = \prod_p \left( 1 + r(p)^2 \right).$$

To estimate the numerator, we use Rankin's trick which asserts that for any sequence of non-negative real numbers  $\{a_n\}$ , and any  $\alpha > 0$  we have

$$\sum_{n>x} a_n \le x^{-\alpha} \sum_{n>x} a_n n^{\alpha} \le x^{-\alpha} \sum_{n=1}^{\infty} a_n n^{\alpha}.$$

Therefore,

$$\sum_{mn \le N} \frac{\Lambda(n) \sin^{\mu}(h \log n) r(m) r(mn)}{\sqrt{n} (\log n)^{\nu}}$$

$$= \sum_{n \le N} \frac{\Lambda(n) \sin^{\mu}(h \log n) r(n)}{\sqrt{n} (\log n)^{\nu}} \sum_{\substack{m \le N/n \\ (m,n) = 1}} r(m)^{2}$$

$$= \sum_{n \le N} \frac{\Lambda(n) \sin^{\mu}(h \log n) r(n)}{\sqrt{n} (\log n)^{\nu}} \prod_{p \nmid n} (1 + r(p)^{2})$$

$$+ O\left(h^{\mu} \sum_{n \le N} \frac{\Lambda(n) r(n)}{\sqrt{n} (\log n)^{\nu - \mu}} \left(\frac{n}{N}\right)^{\alpha} \prod_{p \nmid n} (1 + p^{\alpha} r(p)^{2})\right).$$
(2.6)

Here we have used the inequality  $|\sin x| \le x$  for  $x \ge 0$  in the big-O term. Note that r(n) is supported on square-free integers, and the inequalities  $\sin(h \log p) \gg h \log p$  and  $r(p) \ll 1$  hold for all p < B. Using these observations we see that the ratio of the main term in (2.6) to  $\sum_{n \le N} |r(n)|^2$  is

$$\sum_{p \le N} \frac{\sin^{\mu}(h \log p) r(p)}{\sqrt{p} (\log p)^{\nu-1} (1+r(p)^2)} = L \sum_{A 
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$$\sum_{A$$$$$$

On the other hand, the error term in (2.6) is

$$\ll h^{\mu}LN^{-\alpha} \left( \sum_{A 
$$(2.7)$$$$

Note that  $B = L^3$  and  $L \ll (\log N)^{1/2}$ . So by Rankin's trick (with exponent taken to be 1/2) we have

$$\sum_{n \le N} |r(n)|^2 = \sum_{n=1}^{\infty} |r(n)|^2 + O\left(\frac{L^2}{N^{1/2}} \sum_{A 
$$= \prod_p (1 + r(p)^2) + O\left(\frac{L^2}{N^{1/2}} B^{3/2} (\log B)^{2\nu}\right) \gg \prod_p (1 + r(p)^2).$$$$

Choosing  $\alpha = (\log L)^{-2}$ , we see that the ratio of (2.7) to  $\sum_{n \leq N} |r(n)|^2 \gg \prod_p (1 + r(p)^2)$  is

$$\ll h^{\mu}LN^{-\alpha} (\log B)^{\mu+1} \prod_{p} \left( \frac{1+p^{\alpha}r(p)^{2}}{1+r(p)^{2}} \right)$$

$$\ll h^{\mu}L (\log B)^{\mu+1} \exp\left\{ -\alpha \log N + \sum_{A 
$$\ll h^{\mu}L (\log B)^{\mu+1} \exp\left\{ -\alpha \log N + \frac{\alpha L^{2}}{2\nu+1} \left( (\log B)^{2\nu+1} - (\log A)^{2\nu+1} \right) + O\left(\alpha^{2}L^{2}(\log B)^{2\nu+2}\right) \right\}$$

$$\ll h^{\mu}L (\log B)^{\mu+1} \exp\left\{ -\frac{1}{2}\frac{\alpha L^{2}(\log A)^{2\nu+1}}{2\nu+1} \right\} = o\left(h^{\mu}(\log N)^{1/2}(\log \log N)^{\mu-\nu+1/2}\right)$$$$

since  $L(\log B)^{\mu+1} \ll (\log N)^{1/2} (\log \log N)^{\mu-\nu+1/2}$  by our choices of A, B, and L. Combining the estimates, the lemma follows.

# 3. Proof of Theorem 1.1

In this section, we prove Theorem 1.1. Our proof of (1.3) follows from Lemma 2.2, while our proof of (1.4) is a consequence of the following Omega theorem.

**Theorem 3.1.** Assume RH. Then as  $t \to \infty$ , we have

$$\int_{t-h}^{t+h} \log|\zeta(\frac{1}{2}+iu)| \, du = \Omega_{\pm}\left(h\sqrt{\frac{\log t}{\log\log t}}\right)$$

uniformly for  $h \in [0, (\log \log t)^{-1}].$ 

*Proof.* We prove this theorem using Soundararajan's resonance method.

Let  $R(t) = \sum_{n \le N} r(n) n^{-it}$  and observe that

$$\max_{T \le t \le 2T} \int_{t-h}^{t+h} \log \left| \zeta(\frac{1}{2} + iu) \right| du \ge \frac{\int_{T}^{2T} \left\{ \int_{t-h}^{t+h} \log \left| \zeta(\frac{1}{2} + iu) \right| du \right\} |R(t)|^2 dt}{\int_{T}^{2T} |R(t)|^2 dt}$$
(3.1)

and

$$\min_{T \le t \le 2T} \int_{t-h}^{t+h} \log \left| \zeta(\frac{1}{2} + iu) \right| du \le \frac{\int_{T}^{2T} \left\{ \int_{t-h}^{t+h} \log \left| \zeta(\frac{1}{2} + iu) \right| du \right\} |R(t)|^2 dt}{\int_{T}^{2T} |R(t)|^2 dt}.$$
(3.2)

Making the substitution  $u = t + h_1$ , using Lemma 2.3 with  $\alpha = 1/2$ , and integrating with respect to  $h_1$ , the double integral in the numerators in (3.1) and (3.2) is

$$= \Re \int_{-h}^{h} \int_{T}^{2T} \log \zeta(\frac{1}{2} + it + ih_{1}) |R(t)|^{2} dt dh_{1}$$
  
$$= 2T \sum_{mn \leq N} \frac{\Lambda(n)r(m)\overline{r(mn)}\sin(h\log n)}{\sqrt{n}(\log n)^{2}} + O\left(hN(\log TN)^{3/2+\varepsilon} \sum_{n \leq N} |r(n)|^{2}\right). \quad (3.3)$$

Furthermore, Montgomery and Vaughan's mean-value theorem for Dirichlet polynomials (Corollary 3 of [8]) implies that

$$\int_{T}^{2T} |R(t)|^2 dt = \left(T + O(N)\right) \sum_{n \le N} |r(n)|^2.$$
(3.4)

Choosing  $N = T(\log T)^{-2}$ , Lemma 2.4 and equations (3.1)–(3.4) imply that

$$\max_{T \le t \le 2T} \int_{t-h}^{t+h} \log |\zeta(\frac{1}{2} + iu)| \, du \ge c_1 h \sqrt{\frac{\log T}{\log \log T}}$$

and

$$\min_{T \le t \le 2T} \int_{t-h}^{t+h} \log |\zeta(\frac{1}{2} + iu)| \, du \le -c_2 h \sqrt{\frac{\log T}{\log \log T}}$$

uniformly for  $h \in [0, (\log \log N)^{-1}]$ , where  $c_1$  and  $c_2$  are (computable) positive constants. The theorem follows.

We now prove Theorem 1.1.

Proof of Theorem 1.1. We first prove (1.3). Assuming RH, (1.2) implies that

$$\int_{-\infty}^{\infty} \frac{\log |\zeta(\frac{1}{2} + it)|}{\frac{1}{4} + t^2} \, dt = 0$$

Since the integrand is even, it follows that

$$I(T) = -2 \int_{T}^{\infty} \frac{\log |\zeta(\frac{1}{2} + it)|}{\frac{1}{4} + t^2} dt.$$

Integrating by parts and applying Lemma 2.2 we have

$$\begin{split} I(T) &= -2 \int_T^\infty \frac{1}{\frac{1}{4} + t^2} \, d \bigg( \int_T^t \log |\zeta(\frac{1}{2} + iu)| \, du \bigg) \\ &= -4 \int_T^\infty \frac{t}{(\frac{1}{4} + t^2)^2} \bigg( \int_T^t \log |\zeta(\frac{1}{2} + iu)| \, du \bigg) \, dt \\ &\ll \int_T^\infty \frac{1}{t^3} \frac{\log t}{(\log \log t)^2} \, dt \ll \frac{1}{T^2} \frac{\log T}{(\log \log T)^2}. \end{split}$$

This completes the proof of (1.3).

We now prove (1.4). Let  $h \in [0, (\log \log t)^{-1}]$  and suppose, for sake of contradiction, that

$$I(t) = o\left(\frac{1}{t^2}\sqrt{\frac{\log t}{(\log\log t)^3}}\right)$$

Then for  $t - h \le u \le t + h$  we have

$$I(u) - I(t - h) = o\left(\frac{1}{t^2}\sqrt{\frac{\log t}{(\log \log t)^3}}\right),$$
(3.5)

as well. Integrating by parts yields

$$\int_{t-h}^{t+h} \log \left| \zeta(\frac{1}{2} + iu) \right| du = \int_{t-h}^{t+h} \left( \frac{1}{4} + u^2 \right) d \left( \int_{t-h}^{u} \frac{\log \left| \zeta(\frac{1}{2} + iv) \right|}{\frac{1}{4} + v^2} dv \right)$$
$$= \left( \frac{1}{4} + (t+h)^2 \right) \int_{t-h}^{t+h} \frac{\log \left| \zeta(\frac{1}{2} + iv) \right|}{\frac{1}{4} + v^2} dv$$
$$- \int_{t-h}^{t+h} 2u \left( \int_{t-h}^{u} \frac{\log \left| \zeta(\frac{1}{2} + iv) \right|}{\frac{1}{4} + v^2} dv \right) du.$$

Using the assumption (3.5) twice, it follows that

$$\int_{t-h}^{t+h} \log |\zeta(\frac{1}{2}+iu)| \, du = o\left(\sqrt{\frac{\log t}{(\log\log t)^3}}\right).$$

If  $h = (\log \log t)^{-1}$ , this contradicts Theorem 3.1, and thus proves (1.4).

## 4. Proof of Theorem 1.2

In this section, we use contour integration to prove Theorem 1.2. We also show how this method can be modified to give a new proof of (1.1) that relies solely on standard techniques from complex analysis.

Proof of Theorem 1.2. First, suppose that T is not an ordinate of a zero of  $\zeta(s)$  and consider

$$\frac{1}{i} \int_{\frac{1}{2}+iT}^{\frac{1}{2}+i\infty} \frac{\log \zeta(s)}{s(1-s)} \, ds.$$

Let S be subset of the region  $\sigma > 1/2$  and t > T, that excludes all the horizontal segments  $1/2 + i\gamma$  to  $\beta + i\gamma$ . It follows that  $\log \zeta(s)$  is a single-valued analytic function in S. Moreover, along each branch cut from  $1/2 + i\gamma$  to  $\beta + i\gamma$  the values of  $\log \zeta(s)$  on the upper and lower cuts differ by  $2\pi i$ . Therefore, moving the contour in the above integral from  $\Re(s) = 1/2$  to  $\Re(s) = \infty$  yields

$$\frac{1}{i} \int_{\frac{1}{2}+iT}^{\frac{1}{2}+i\infty} \frac{\log \zeta(s)}{s(1-s)} \, ds = 2\pi \sum_{\substack{\gamma > T\\\beta > 1/2}} \int_{\frac{1}{2}+i\gamma}^{\beta+i\gamma} \frac{1}{s(1-s)} \, ds + \frac{1}{i} \int_{\frac{1}{2}+iT}^{\infty+iT} \frac{\log \zeta(s)}{s(1-s)} \, ds. \tag{4.1}$$

Also, we have

$$\int_{\frac{1}{2}+i\gamma}^{\beta+i\gamma} \frac{1}{s(1-s)} \, ds = \log(\rho) - \log(\frac{1}{2}+i\gamma) - \log(1-\rho) + \log(\frac{1}{2}-i\gamma). \tag{4.2}$$

For  $\sigma \geq 2$  we have  $\log \zeta(s) \ll 2^{-\sigma}$  uniformly in t. From this and Lemma 2.1 it follows that

$$\int_{\frac{1}{2}+iT}^{\infty+iT} \frac{\log \zeta(s)}{s(1-s)} \, ds \ll \frac{1}{T^2} \left( \int_{\frac{1}{2}}^2 + \int_2^\infty \right) |\log \zeta(\sigma+iT)| \, d\sigma \ll \frac{1}{T^2} \left(\log T + 1\right)$$

Taking the real parts in (4.1), and using the above estimate and (4.2), we deduce that

$$\int_{T}^{\infty} \frac{\log |\zeta(\frac{1}{2} + it)|}{\frac{1}{4} + t^2} dt = 2\pi \sum_{\substack{\gamma > T \\ \beta > 1/2}} \log \left| \frac{\rho}{1 - \rho} \right| + O\left(\frac{1}{T^2} \log T\right).$$

Similarly, it can be shown that

$$\int_{-\infty}^{-T} \frac{\log |\zeta(\frac{1}{2} + it)|}{\frac{1}{4} + t^2} dt = 2\pi \sum_{\substack{\gamma < -T \\ \beta > 1/2}} \log \left| \frac{\rho}{1 - \rho} \right| + O\left(\frac{1}{T^2} \log T\right)$$

Combining these two estimates and then differencing the resulting formula with (1.1) completes the proof of the theorem in the case when  $T \neq \gamma$ . If  $T = \gamma$ , we note that for all sufficiently small  $\varepsilon > 0$  the estimate in (1.5) holds for  $T = \gamma + \varepsilon$ . The theorem now follows in this case by letting  $\varepsilon \to 0^+$ .

*Proof of* (1.1). Consider the integral

$$\frac{1}{i} \int_{\frac{1}{2}-i\infty}^{\frac{1}{2}+i\infty} \frac{\log((s-1)\zeta(s))}{s(1-s)} \, ds$$

Arguing as in the previous proof, we move the contour from  $\Re(s) = 1/2$  to  $\Re(s) = \infty$  and deduce that

$$\frac{1}{i} \int_{\frac{1}{2}-i\infty}^{\frac{1}{2}+i\infty} \frac{\log((s-1)\zeta(s))}{s(1-s)} \, ds = 2\pi \sum_{\beta>1/2} \int_{\frac{1}{2}+i\gamma}^{\beta+i\gamma} \frac{1}{s(1-s)} \, ds + \frac{1}{i} \int_{\mathcal{C}} \frac{\log((s-1)\zeta(s))}{s(1-s)} \, ds, \quad (4.3)$$

where C is the positively oriented circle centered at s = 1 with radius 1/4. By the calculus of residues and the fact that  $\lim_{s\to 1} ((s-1)\zeta(s)) = 1$  the last integral equals zero. Thus, by this and (4.2), taking the real parts in (4.3) gives

$$\int_{-\infty}^{\infty} \frac{\log \left| \left( -\frac{1}{2} + it \right) \zeta(\frac{1}{2} + it) \right|}{\frac{1}{4} + t^2} \, dt = 2\pi \sum_{\beta > 1/2} \log \left| \frac{\rho}{1 - \rho} \right|.$$

Note that by residue calculus (or otherwise) we have

$$\int_{-\infty}^{\infty} \frac{\log|-\frac{1}{2}+it|}{\frac{1}{4}+t^2} dt = \frac{1}{2} \int_{-\infty}^{\infty} \frac{\log(\frac{1}{4}+t^2)}{\frac{1}{4}+t^2} dt = 0.$$
roof.

This completes the proof.

# 5. Montgomery's Omega theorem for S(t)

Let N(t) denote the number of non-trivial zeros  $\rho = \beta + i\gamma$  of the Riemann zeta-function with  $0 < \gamma \leq t$ . It is well-known that

$$N(t) = \frac{t}{2\pi} \log \frac{t}{2\pi} - \frac{t}{2\pi} + \frac{7}{8} + S(t) + O\left(\frac{1}{t}\right)$$

for  $t \ge 10$ . Here, if t is not equal to an ordinate of a zero of  $\zeta(s)$ , the function S(t) is defined by

$$S(t) = \frac{1}{\pi} \Im \log \zeta \left(\frac{1}{2} + it\right),$$

where the branch of logarithm is obtained by continuous variation along the line segments joining the points 2, 2 + it, and  $\frac{1}{2} + it$ , starting with  $\arg \zeta(2) = 0$ . If t corresponds to an ordinate of a zero of  $\zeta(s)$  we set

$$S(t) = \frac{1}{2} \lim_{\varepsilon \to 0} \left\{ S(t + \varepsilon) + S(t - \varepsilon) \right\}.$$

Assuming RH, it is known that

$$\left|S(t)\right| \le \left(\frac{1}{4} + o(1)\right) \frac{\log t}{\log\log t}$$

as  $t \to \infty$  [4]. In this section, we illustrate how Lemmas 2.3 and 2.4 in §3 can be used to give a new proof of Montgomery's result [7] that

$$S(t) = \Omega_{\pm} \left( \sqrt{\frac{\log t}{\log \log t}} \right) \tag{5.1}$$

assuming RH. Tsang [13] gave an alternate proof of (5.1). In contrast to the proofs of Montgomery and Tsang, our proof uses the resonance method.

Proof of (5.1). Define the auxiliary function

$$S_1(t) = \int_0^t S(u) \, du$$

and note that

$$\max_{t \le u \le t+h} \pm S(u) \ge \frac{1}{h} \int_{t}^{t+h} \pm S(u) \ du = \frac{\pm \left(S_1(t+h) - S_1(t)\right)}{h}.$$
(5.2)

We use a result of Littlewood (see Theorem 3 of [6] or Theorem 9.9 of [12]) that

$$S_1(t) = \frac{1}{\pi} \int_{1/2}^2 \log |\zeta(\sigma + it)| \, d\sigma + O(1).$$

Now taking the real part of the integral in Lemma 2.3, and integrating with respect to  $\alpha$  from 1/2 to 2 yields

$$\int_{T}^{2T} S_1(t+h) |R(t)|^2 dt = \frac{T}{\pi} \sum_{mn \le N} \frac{\Lambda(n) r(m) \overline{r(mn)}}{\sqrt{n} (\log n)^2} \cos(h \log n) + O\left(T \sum_{n \le N} |r(n)|^2\right) + O\left(N(\log TN)^{3/2} \sum_{n \le N} |r(n)|^2\right) + O\left(\int_{T}^{2T} |R(t)|^2 dt\right).$$

Choosing  $N = T(\log T)^{-2}$  and noting that

$$\int_{T}^{2T} |R(t)|^2 dt = \left(T + O(N)\right) \sum_{n \le N} |r(n)|^2$$

we obtain

$$\frac{\pm \int_{T}^{2T} \left( S_1(t+h) - S_1(t) \right) |R(t)|^2 dt}{\int_{T}^{2T} |R(t)|^2 dt} = \mp \frac{2}{\pi} \frac{\sum_{mn \le N} \frac{\Lambda(n)r(m)r(mn)}{\sqrt{n} (\log n)^2} \sin^2\left(\frac{h}{2}\log n\right)}{\sum_{n \le N} |r(n)|^2} + O(1).$$

Using Lemma 2.4 with  $\mu = \nu = 2$  to estimate the ratio of sums on the right-hand side of the above expression, we deduce that

$$\max_{T \le t \le 2T} \pm \left( S_1(t+h) - S_1(t) \right) \gg h^2 \sqrt{\log T \log \log T}$$

uniformly for  $h \in [0, (\log \log N)^{-1}]$ . Combining this inequality with the observation in (5.2) and choosing  $h = (\log \log N)^{-1}$ , the estimate (5.1) follows.

We remark that using the resonance method in a different way, the estimate in (5.1) can be refined. In [3], assuming RH, it is shown that

$$\max_{T \le t \le 2T} S(t) \ge \frac{1}{\pi} \sqrt{\frac{\log t}{\log \log t}} + O\left(\frac{\sqrt{\log t}}{\log \log t}\right)$$

and

$$\min_{T \le t \le 2T} S(t) \le -\frac{1}{\pi} \sqrt{\frac{\log t}{\log \log t}} + O\left(\frac{\sqrt{\log t}}{\log \log t}\right)$$

These are conditional analogues of Soundararajan's unconditional Omega theorem for  $|\zeta(\frac{1}{2} + it)|$  in [10]. It does not seem, however, that the method in [3] can be modified to prove Theorem 3.1.

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INSTITUT FÜR MATHEMATIK, UNIVERSITÄT ZÜRICH, ZÜRICH CH-8057, SWITZERLAND *E-mail address*: hung.bui@math.uzh.ch

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF ROCHESTER, ROCHESTER, NY 14627 USA *E-mail address*: lester@math.rochester.edu

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF MISSISSIPPI, UNIVERSITY, MS 38677 USA *E-mail address:* mbmilino@olemiss.edu