BOUNDEDNESS OF COHOMOLOGY

MARKUS BRODMANN, MARYAM JAHANGIRI, AND CAO HUY LINH

ABSTRACT. Let $d \in \mathbb{N}$ and let \mathcal{D}^d denote the class of all pairs (R, M) in which $R = \bigoplus_{n \in \mathbb{N}_0} R_n$ is a Noetherian homogeneous ring with Artinian base ring R_0 and such that M is a finitely generated graded R-module of dimension $\leq d$.

The cohomology table of a pair $(R, M) \in \mathcal{D}^d$ is defined as the family of non-negative integers $d_M := (d_M^i(n))_{(i,n) \in \mathbb{N} \times \mathbb{Z}}$. We say that a subclass \mathcal{C} of \mathcal{D}^d is of finite cohomology if the set $\{d_M \mid (R, M) \in \mathcal{C}\}$ is finite. A set $\mathbb{S} \subseteq \{0, \dots, d-1\} \times \mathbb{Z}$ is said to bound cohomology, if for each family $(h^{\sigma})_{\sigma \in \mathbb{S}}$ of non-negative integers, the class $\{(R, M) \in \mathcal{D}^d \mid d_M^i(n) \leq h^{(i,n)} \text{ for all } (i,n) \in \mathbb{S}\}$ is of finite cohomology. Our main result says that this is the case if and only if \mathbb{S} contains a quasi diagonal, that is a set of the form $\{(i,n_i) \mid i = 0, \dots, d-1\}$ with integers $n_0 > n_1 > \dots > n_{d-1}$.

We draw a number of conclusions of this boundedness criterion.

1. INTRODUCTION

This paper continues our investigation [6], which was driven by the question "What bounds cohomology of a projective scheme?"

A considerable number of contributions has been given to this theme, mainly under the aspect of bounding some cohomological invariants in term of other invariants (see [1], [2], [3], [4], [7], [8], [9], [11], [12], [13], [15], [16], [17], [18], [19], [21], [22] for example).

Our aim is to start from a different point of view, focussing on the notion of cohomological pattern (s. [5]). So, our main result characterizes those sets $\mathbb{S} \subseteq \{0, \dots, d-1\} \times \mathbb{Z}$ "which bound cohomology of projective schemes of dimension < d".

To make this precise, fix a positive integer d and let \mathcal{D}^d be the class of all pairs (R, M) in which $R = \bigoplus_{n \ge 0} R_n$ is a Noetherian homogeneous ring with Artinian base ring R_0 and M is a finitely generated graded R-module with $\dim(M) \le d$. In this situation let $R_+ = \bigoplus_{n>0} R_n$ denote the irrelevant ideal of R.

For each $i \in \mathbb{N}_0$ consider the graded *R*-module $D_{R_+}^i(M)$, where $D_{R_+}^i$ denotes the *i*-th right derived functor of the R_+ -transform functor $D_{R_+}(\bullet) := \lim_{n \to \infty} \operatorname{Hom}_R((R_+)^n, \bullet)$. In addition, for each $n \in \mathbb{Z}$ let $d_M^i(n)$ denote the (finite) R_0 -length of the *n*-th graded component $D_{R_+}^i(M)_n$ of $D_{R_+}^i(M)$.

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Finally, for $(R, M) \in \mathcal{D}^d$ let us consider the so called cohomology table of (R, M), that is the family of non negative integers

$$d_M := (d_M^i(n))_{(i,n) \in \mathbb{N}_0 \times \mathbb{Z}}$$

A subclass $\mathcal{C} \subseteq \mathcal{D}^d$ is said to be of finite cohomology if the set $\{d_M \mid (R, M) \in \mathcal{C}\}$ is finite. The class \mathcal{C} is said to be of bounded cohomology if the set $\{d_M^i(n) \mid (R, M) \in \mathcal{C}\}$ is finite for all pairs $(i, n) \in \mathbb{N}_0 \times \mathbb{Z}$. It turns out that these two conditions are booth equivalent to the condition that the class \mathcal{C} is of finite cohomology "along some diagonal", e.g. there is some $n_0 \in \mathbb{Z}$ such that the set $\Delta_{\mathcal{C},n_0} := \{d_M^i(n_0 - i) \mid (R, M) \in \mathcal{C}, 0 \leq i < d\}$ is finite (s. Theorem 3.5).

So, if one bounds the values of $d_M^i(n)$ along a "diagonal subset"

$$\{(j, n_0 - j) \mid j = 0, \cdots, d - 1\} \subseteq \{0, \cdots, d - 1\} \times \mathbb{Z}$$

for an arbitrary integer n_0 one cuts out a subclass $\mathcal{C} \subseteq \mathcal{D}^d$ of finite cohomology. Motivated by this observation we say that the subset $\mathbb{S} \subseteq \{0, \dots, d-1\} \times \mathbb{Z}$ bounds cohomology in the class $\mathcal{C} \subseteq \mathcal{D}^d$ if for each family $(h^{\sigma})_{\sigma \in \mathbb{S}}$ of non-negative integers $h^{\sigma} \in \mathbb{N}_0$ the class

$$\{(R,M) \in \mathcal{C} \mid \forall (i,n) \in \mathbb{S} : d^i_M(n) \le h^{(i,n)}\}$$

is of finite cohomology. Now, we may reformulate our previous result by saying that for arbitrary n_0 the diagonal set $\{(j, n_0 - j) \mid j = 0, \dots, d - 1\}$ bounds cohomology in \mathcal{D}^d . It seems rather natural to ask, whether one can characterize the shape of those subsets $\mathbb{S} \subseteq \{0, \dots, d-1\} \times \mathbb{Z}$ which bound cohomology in \mathcal{D}^d . This is indeed done by our main result (s. Corollary 4.10):

A subset $\mathbb{S} \subseteq \{0, \dots, d-1\} \times \mathbb{Z}$ bounds cohomology in \mathcal{D}^d if and only if it contains a quasi-diagonal, that is a set of the form $\{(i, n_i) \mid i = 0, \dots, d-1\}$ with

$$n_0 > n_1 > \cdots > n_{d-1}.$$

Our next aim is to apply the previous result in order to cut out classes $\mathcal{C} \subseteq \mathcal{D}^d$ of finite cohomology by fixing some numerical invariants which are defined on the class \mathcal{C} . A finite family $(\mu_i)_{i=1}^r$ of numerical invariants μ_i on \mathcal{C} is said to bound cohomology in \mathcal{C} if for all $n_1, \dots, n_r \in \mathbb{Z} \cup \{\pm \infty\}$ the class $\{(R, M) \in \mathcal{C} \mid \mu_i(M) = n_i \text{ for } i = 1, \dots, r\}$ is of finite cohomology.

We define a numerical invariant $\varrho : \mathcal{D}^d \to \mathbb{N}_0$ by setting $\varrho(M) := d_M^0(\operatorname{reg}^2(M))$, where $\operatorname{reg}^2(M)$ denotes the Castelnuovo-Mumford regularity of M at and above level 2. Then, we show (s. Theorem 5.8):

The pair of invariants (reg², ρ) bounds cohomology in \mathcal{D}^d .

As an application of this we prove (s. Theorem 5.9 and Corollary 5.10)

Fix a polynomial $p \in \mathbb{Q}[t]$ and an integer r. Let $\mathcal{C} \subseteq \mathcal{D}^d$ be the class of all pairs (R, M) such that M is a graded submodule of a finitely generated graded R-module N with Hilbert polynomial $p_N = p$ and $\operatorname{reg}^2(N) \leq r$. Then reg^2 bounds cohomology in \mathcal{C} .

An immediate consequence of this is (s. Corollary 5.11):

Let $(R, N) \in \mathcal{D}^d$, let $r \in \mathbb{Z}$ and let M run through all graded submodules $M \subseteq N$ with $\operatorname{reg}^2(M) \leq r$. Then only finitely many cohomology tables d_M occur.

As applications of this, we generalize two finiteness results of Hoa-Hyry [17] for local cohomology modules of graded ideals in a polynomial ring over a field to graded submodules $M \subseteq N$ for a given pair $(R, N) \in \mathcal{D}^d$ (s. Corollaries 5.13 and 5.14).

In order to translate our results to sheaf cohomology of projective schemes observe that for all $(i, n) \in \mathbb{N}_0 \times \mathbb{Z}$ and all pairs $(R, M) \in \mathcal{D}^d$ we have $H^i(X, \mathcal{F}(n)) \cong D^i_{R_+}(M)_n$, where $X := \operatorname{Proj}(R)$ and $\mathcal{F} := \tilde{M}$ is the coherent sheaf of \mathcal{O}_X -modules induced by M (see [10, chap. 20] for example).

2. Preliminaries

In this section we recall a few basic facts which shall be used later in our paper.

Notation 2.1. Let $R = \bigoplus_{n \ge 0} R_n$ be a homogeneous Noetherian ring, so that R is positively graded, R_0 is Noetherian and $R = R_0[l_0, \dots, l_r]$ with finitely many elements $l_0, \dots, l_r \in R_1$. Let R_+ denote the irrelevant ideal $\bigoplus_{n > 0} R_n$ of R.

Reminder 2.2. (Local cohomology and Castelnuovo-Mumford regularity) (A) Let $i \in \mathbb{N}_0 := \{0, 1, 2, \dots\}$. By $H^i_{R_+}(\bullet)$ we denote the *i*-th local cohomology functor with respect to R_+ . Moreover by $D^i_{R_+}(\bullet)$ we denote the *i*-th right derived functor of the ideal transform functor $D_{R_+}(\bullet) = \lim_{n \to \infty} \operatorname{Hom}_R((R_+)^n, \bullet)$ with respect to R_+ .

(B) Let $M := \bigoplus_{n \in \mathbb{Z}} M_n$ be a graded *R*-module. Keep in mind that in this situation the *R*-modules $H^i_{R_+}(M)$ and $D^i_{R_+}(M)$ carry natural gradings. Moreover we then have a natural exact sequence of graded *R*-modules

(i) $0 \longrightarrow H^0_{R_+}(M) \longrightarrow M \longrightarrow D^0_{R_+}(M) \longrightarrow H^1_{R_+}(M) \longrightarrow 0$

and natural isomorphisms of graded R-modules

(ii) $D_{R_+}^i(M) \cong H_{R_+}^{i+1}(M)$ for all i > 0.

(C) If T is a graded R-module and $n \in \mathbb{Z}$, we use T_n to denote the n-th graded component of T. In particular, we define the *beginning* and the *end* of T respectively by

(i)
$$\operatorname{beg}(T) := \inf\{n \in \mathbb{Z} | T_n \neq 0\}$$

(ii)
$$\operatorname{end}(T) := \sup\{n \in \mathbb{Z} | T_n \neq 0\}.$$

with the standard convention that $\inf \emptyset = \infty$ and $\sup \emptyset = -\infty$.

(D) If the graded *R*-module *M* is finitely generated, the R_0 -modules $H^i_{R_+}(M)_n$ are all finitely generated and vanish as well for all $n \gg 0$ as for all $i > \dim(M)$. So, we have

 $-\infty \leq a_i(M) := \operatorname{end}(H^i_{R_+}(M)) < \infty \text{ for all } i \geq 0$

with $a_i(M) := -\infty$ for all $i > \dim(M)$.

If $k \in \mathbb{N}_0$, the Castelnuovo-Mumford regularity of M at and above level k is defined by

$$\operatorname{reg}^{k}(M) := \sup\{a_{i}(M) + i | i \ge k\} \ (<\infty).$$

The Castelnuovo-Mumford regularity of M is defined by $reg(M) := reg^0(M)$.

(E) We also shall use the generating degree of M, which is defined by

gendeg
$$(M) = \inf\{n \in \mathbb{Z} \mid M = \sum_{m \le n} RM_m\}.$$

If the graded *R*-module *M* is finitely generated, we have $gendeg(M) \leq reg(M)$.

Reminder 2.3. (Cohomological Hilbert functions) (A) Let $i \in \mathbb{N}_0$ and assume that the base ring R_0 is Artinian. Let M be a finitely generated graded R-module. Then, the graded R-modules $H^i_{R_+}(M)$ are Artinian. In particular for all $i \in \mathbb{N}_0$ and all $n \in \mathbb{Z}$ we may define the non-negative integers

(i)
$$h_M^i(n) := \operatorname{length}_{R_0}(H_{R_+}^i(M)_n),$$

(ii)
$$d_M^i(n) := \operatorname{length}_{R_0}(D_{R_+}^i(M)_n).$$

Fix $i \in \mathbb{N}_0$. Then the functions

(iii)
$$h_M^i: \mathbb{Z} \to \mathbb{N}_0, \quad n \mapsto h_M^i(n),$$

(iv)
$$d_M^i: \mathbb{Z} \to \mathbb{N}_0, \quad n \mapsto d_M^i(n)$$

are called the *i*-th Cohomological Hilbert functions of the first respectively the second kind of M.

(B) Let M be a finitely generated graded R-module and let $x \in R_1$. We also write $\Gamma_{R_+}(M)$ for the R_+ -torsion submodule of M which we identify with $H^0_{R_+}(M)$. By $\operatorname{NZD}_R(M)$ resp. $\operatorname{ZD}_R(M)$ we denote the set of non-zerodivisors resp. of zero divisors of R with respect to M. The linear form $x \in R_1$ is said to be (R_+) filter regular with respect to M if $x \in \operatorname{NZD}_R(M/\Gamma_{R_+}(M))$.

Reminder 2.4. (cf. [6, Definition 5.2]) For $d \in \mathbb{N}$ let \mathcal{D}^d denote the class of all pairs (R, M)in which $R = \bigoplus_{n \in \mathbb{N}_0} R_n$ is a Noetherian homogenous ring with Artinian base ring R_0 and $M = \bigoplus_{n \in \mathbb{Z}} M_n$ is a finitely generated graded *R*-module with dim $(M) \leq d$.

3. FINITENESS AND BOUNDEDNESS OF COHOMOLOGY

We keep the notations and hypotheses introduced in Section 2.

Definition 3.1. The *cohomology table* of the pair $(R, M) \in \mathcal{D}^d$ is the family of non-negative integers

$$d_M := (d_M^i(n))_{(i,n) \in \mathbb{N}_0 \times \mathbb{Z}}.$$

Reminder 3.2. (A) According to [5] the *cohomological pattern* \mathcal{P}_M of the pair $(R, M) \in \mathcal{D}^d$ is defined as the set of places at which the cohomology table of (R, M) has a non-zero entry:

$$\mathcal{P}_M := \{ (i, n) \in \mathbb{N}_0 \times \mathbb{Z} \mid d^i_M(n) \neq 0 \}.$$

(B) A set $P \subseteq \mathbb{N}_0 \times \mathbb{Z}$ is called a *tame combinatorial pattern of width* $w \in \mathbb{N}_0$ if the following conditions are satisfied:

 $(\pi_1) \qquad \exists m, n \in \mathbb{Z} : (0, m), (w, n) \in P;$

 $(\pi_2) \qquad (i,n) \in P \Rightarrow i \le w;$

$$(\pi_3) \qquad (i,n) \in P \Rightarrow \exists j \le i : (j,n+i-j+1) \in P;$$

$$(\pi_4) \qquad (i,n) \in P \Rightarrow \exists k \ge i : (k,n+i-k-1) \in P;$$

$$(\pi_5) i > 0 \Rightarrow \forall n \gg 0 : (i,n) \notin P;$$

$$(\pi_6) \qquad \forall i \in \mathbb{N} : (\forall n \ll 0; (i, n) \in P) \text{ or else } (\forall n \ll 0 : (i, n) \notin P).$$

By [5] we know:

- (a) If $(R, M) \in \mathcal{D}^d$ with dim(M) = s > 0, then \mathcal{P}_M is a tame combinatorial pattern of width w = s 1.
- (b) If P is a tame combinatorial pattern of width $w \leq d 1$, then there is a pair $(R, M) \in \mathcal{D}^d$ such that the base ring R_0 is a field and $P = \mathcal{P}_M$.

.

By the previous observation, the set of patterns $\{\mathcal{P}_M \mid (R, M) \in \mathcal{D}^d\}$ is quite large, and hence so is the set of cohomology tables $\{d_M \mid (R, M) \in \mathcal{D}^d\}$. Therefore, one seeks for decompositions $\bigcup_{i \in \mathbb{I}} \mathcal{C}_i = \mathcal{D}^d$ of \mathcal{D}^d into "simpler" subclasses \mathcal{C}_i such that for each $i \in \mathbb{I}$ the set $\{d_M \mid (R, M) \in \mathcal{C}_i\}$ is finite. Bearing in mind this goal, we define the following concepts:

Definitions 3.3. (A) Let $\mathcal{C} \subseteq \mathcal{D}^d$ be a subclass. We say that \mathcal{C} is a subclass of finite *cohomology* if

$$\sharp\{d_M \mid (R, M) \in \mathcal{C}\} < \infty.$$

(B) We say that $\mathcal{C} \subset \mathcal{D}^d$ is a subclass of bounded cohomology if

$$\forall (i,n) \in \mathbb{N}_0 \times \mathbb{Z} : \sharp \{ d_M^i(n) \mid (R,M) \in \mathcal{C} \} < \infty.$$

Remark 3.4. (A) Let $\mathcal{C}, \mathcal{D} \subseteq \mathcal{D}^d$ be subclasses of \mathcal{D}^d . Then clearly

If $\mathcal{C} \subseteq \mathcal{D}$ and \mathcal{D} is of finite cohomology or of bounded cohomology, then so is \mathcal{C} (a) respectively.

(B) If $r \in \mathbb{Z}$, we have a bijection

$$\{d_M \mid (R, M) \in \mathcal{C}\} \twoheadrightarrow \{d_{M(r)} \mid (R, M) \in \mathcal{C}\}$$
 given by $d_M \mapsto d_{M(r)}$.

Now, we show how the finiteness and boundedness conditions defined above are related. **Theorem 3.5.** For a subclass $\mathcal{C} \subseteq \mathcal{D}^d$ the following statements are equivalent:

- (i) C is a class of finite cohomology.
- \mathcal{C} is a class of bounded cohomology. (ii)
- For each $n_0 \in \mathbb{Z}$ the set $\triangle_{\mathcal{C},n_0} := \{ d^i_M(n_0 i) \mid (R, M) \in \mathcal{C}, 0 \leq i < d \}$ is finite. There is some $n_0 \in \mathbb{Z}$ such that the set $\triangle_{\mathcal{C},n_0}$ of statement (iii) is finite. (iii)
- (iv)

Proof. The implications (i) \Rightarrow (ii) \Rightarrow (iii) \Rightarrow (iv) are clear from the definitions. To prove the implication (iv) \Rightarrow (i) fix $n_0 \in \mathbb{Z}$ and assume that the set $\triangle_{\mathcal{C},n_0}$ is finite. Then there is some non-negative integer h such that $d^i_{M(n_0)}(-i) \leq h$ for all pairs $(R, M) \in \mathcal{C}$ and all $i \in \{0, \dots, d-1\}$. By [6, Theorem 5.4] it thus follows that the set of functions

$$\{d^i_{M(n_0)} \mid (R, M) \in \mathcal{C}, i \in \mathbb{N}_0\}$$

is finite. By Remark 3.4 (B) we now may conclude that the class \mathcal{C} is of finite cohomology. \Box

So, by Theorem 3.5 boundedness and finiteness of cohomology are the same for a given class $\mathcal{C} \subseteq \mathcal{D}^d$.

Definition 3.6. Let $d \in \mathbb{N}_0$, let $\mathcal{C} \subseteq \mathcal{D}^d$ and let $\mathbb{S} \subseteq \{0, \dots, d-1\} \times \mathbb{Z}$ be a subset. We say that the set \mathbb{S} bounds cohomology in \mathcal{C} if for each family $(h^{\sigma})_{\sigma \in \mathbb{S}}$ of non negative integers h^{σ} the class

$$\{(R,M) \in \mathcal{C} \mid \forall (i,n) \in \mathbb{S} : d_M^i(n) \le h^{(i,n)}\}$$

is of finite cohomology.

Remark 3.7. (A) Let $d \in \mathbb{N}_0$, let $\mathcal{C}, \mathcal{D} \subseteq \mathcal{D}^d$ and $\mathbb{S}, \mathbb{T} \subseteq \{0, \dots, d-1\} \times \mathbb{Z}$. Then obviously we can say

If $\mathbb{S} \subseteq \mathbb{T}$ and \mathbb{S} bounds cohomology in \mathcal{C} , then so does \mathbb{T} .

(B) If $r \in \mathbb{Z}$, we can form the set $S(r) := \{(i, n+r) \mid (i, n) \in S\}$. In view of the bijection of Remark 3.4 (B) we have

 $\mathbb{S}(r)$ bounds cohomology in $\mathcal{C}(r) := \{(R, M(r)) \mid (R, M) \in \mathcal{C}\}$ if and only if \mathbb{S} does in \mathcal{C} .

(C) For all $s \in \{0, \dots, d\}$ we set

$$\mathbb{S}^{$$

as $\mathcal{D}^s \subseteq \mathcal{D}^d$ it follows easily:

If S bounds cohomology in \mathcal{C} , then $\mathbb{S}^{<s}$ bounds cohomology in $\mathcal{D}^s \cap \mathcal{C}$.

Corollary 3.8. Let $\mathcal{C} \subseteq \mathcal{D}^d$ and $n \in \mathbb{Z}$. Then, the "*n*-th diagonal"

$$\{(i, n-i) \mid i = 0, \cdots, d-1\}$$

bounds cohomology in C.

Proof. This is immediate by Theorem 3.5.

4. QUASI-DIAGONALS

Our first aim is to generalize Corollary 3.8 by showing that not only the diagonals bound cohomology on C, but rather all "quasi-diagonals". We shall define below, what such a quasi-diagonal is.

Lemma 4.1. Let $t \in \{1, \dots, d\}$, let $(n_i)_{i=d-t}^{d-1}$ be a sequence of integers such that $n_{d-1} < \dots < n_{d-t}$ and let $\mathcal{C} \subseteq \mathcal{D}^d$ be a class such that the set $\{d_M^i(n_i) \mid (R, M) \in \mathcal{C}\}$ is finite for all $i \in \{d-t, \dots, d-1\}$. Then the set $\{d_M^i(n) \mid (R, M) \in \mathcal{C}\}$ is finite whenever $n_i \leq n$ and $d-t \leq i \leq d-1$.

Proof. By our hypothesis there is some $h \in \mathbb{N}_0$ with $d_M^i(n_i) \leq h$ for all $i \in \{d-t, \cdots, d-1\}$ and all pairs $(R, M) \in \mathcal{C}$.

On use of standard reduction arguments we can restrict ourselves to the case where the Artinian base ring R_0 is local with infinite residue field. Let $(R, M) \in \mathcal{C}$. Replacing M by $M/\Gamma_{R_+}(M)$ we may assume that M is R_+ -torsion free. Therefore, there exists $x \in R_1 \cap \text{NZD}(M)$. For each $i \in \mathbb{N}_0$ and $m \in \mathbb{Z}$, the short exact sequence $0 \longrightarrow M(-1) \longrightarrow M \longrightarrow M/xM \longrightarrow 0$ induces long exact sequences

$$(*_{i,m}) \qquad D^{i}_{R_{+}}(M)_{m-1} \to D^{i}_{R_{+}}(M)_{m} \to D^{i}_{R_{+}}(M/xM)_{m} \longrightarrow D^{i+1}_{R_{+}}(M)_{m-1}.$$

As dim(M/xM) < d, the sequences $(*_{d-1,m})$ imply that $d_M^{d-1}(m) \leq d_M^{d-1}(m-1)$ for all $m \in \mathbb{Z}$. This proves our claim if t = 1. So, let t > 1.

Assume inductively that the set $\{d_M^i(n_i) \mid (R, M) \in \mathcal{C}\}$ is finite whenever $n_i \leq n$ and $d-t+1 \leq i \leq d-1$. It remains to find a family of non-negative integers $(h_n)_{n\geq n_{d-t}}$ such that $d_M^{d-t}(n) \leq h_n$ for all $n \geq n_{d-t}$. Let \mathcal{E} denote the class of all pairs $(R, M/xM) = (R, \overline{M})$ in which $(R, M) \in \mathcal{C}$ and $x \in R_1 \cap \text{NZD}(M)$. As $n_i - 1 \geq n_{i+1}$ for all $i \in \{d-t, \cdots, d-2\}$, the sequences $(*_{i,n_i})$ show that

$$d^{i}_{M/xM}(n_i) \le d^{i+1}_M(n_i-1) + h \text{ for } i \in \{d-t, \cdots, d-2\}.$$

This means that the set $\{d_{\overline{M}}^i(n_i) \mid (R, \overline{M}) \in \mathcal{E}\}$ is finite whenever $(d-1) - (t-1) \leq i \leq d-2$. So, by induction the set $\{d_{\overline{M}}^i(n_i) \mid (R, \overline{M}) \in \mathcal{E}\}$ is finite whenever $n_i \leq n$ and $(d-1) - (t-1) \leq i \leq d-2$.

In particular there is a family of non-negative integers $(k_m)_{m \ge n_{d-t}}$ such that $d_{M/xM}^{d-t}(m) \le k_m$ for all $m \ge n_{d-t}$. Now, for each $n \ge n_{d-t}$ set $h_n := h + \sum_{n_{d-t} < m \le n} k_m$. If we choose $(R, M) \in \mathcal{C}$, the sequences $(*_{d-t,n})$ imply that $d_M^{d-t}(n) \le h_n$ for all $n \ge n_{d-t}$.

Proposition 4.2. Let $(n_i)_{i=0}^{d-1}$ be a sequence of integers such that $n_{d-1} < \ldots < n_0$ and let $\mathcal{C} \subseteq \mathcal{D}^d$. Then the set $\{(i, n_i) \mid i = 0, \cdots, d-1\}$ bounds cohomology in \mathcal{C} .

Proof. Let $(h^i)_{i=0}^{d-1}$ be a family of non-negative integers and let \mathcal{C}' be the class of all pairs $(R, M) \in \mathcal{C}$ such that $d_M^i(n_i) \leq h^i$ for $i = 0, \dots, d-1$. Then, by Lemma 4.1 the set $\{d_M^i(n) \mid (R, M) \in \mathcal{C}'\}$ is finite, whenever $n \geq n_i$ and $0 \leq i \leq d-1$. Therefore the set $\Delta_{\mathcal{C}',n_0} := \{d_M^i(n_0-i) \mid (R, M) \in \mathcal{C}', 0 \leq i < d\}$ is finite. So, by Theorem 3.5 the class \mathcal{C}' is of finite cohomology. It follows that $\{(i, n_i) \mid i = 0, \dots, d-1\}$ bounds cohomology in \mathcal{C} . \Box

Definition 4.3. A set $\mathbb{T} \subseteq \{0, 1, \dots, d-1\} \times \mathbb{Z}$ is called a *quasi-diagonal* if there is a sequence of integers $(n_i)_{i=0}^{d-1}$ such that $n_{d-1} < n_{d-2} < \ldots < n_0$ and

$$\mathbb{T} = \{(i, n_i) \mid i = 0, \cdots, d-1\}.$$

Observe, that diagonals in $\{0, \dots, d-1\} \times \mathbb{Z}$ are quasi-diagonals. So, the next result generalizes Corollary 3.8.

Corollary 4.4. Let $\mathbb{S} \subseteq \{0, 1, \dots, d\} \times \mathbb{Z}$ be a set which contains a quasi-diagonal. Then \mathbb{S} bounds cohomology in each subclass $\mathcal{C} \subseteq \mathcal{D}^d$.

Proof. Clear by Proposition 4.2.

Our next goal is to show that the converse of Corollary 4.4 holds, namely: if a set $\mathbb{S} \subseteq \{0, 1, \dots, d-1\} \times \mathbb{Z}$ bounds cohomology in \mathcal{D}^d , then \mathbb{S} contains a quasi-diagonal.

Reminder 4.5. Let K be a field, let $R = K \oplus R_1 \oplus \cdots$ and $R' = K \oplus R'_1 \oplus \cdots$ be two Noetherian homogeneous K-algebras. Let $R \boxtimes_K R' := K \oplus (R_1 \otimes R'_1) \oplus (R_2 \otimes R'_2) \oplus \cdots \subseteq R \otimes_K R'$ be the Segre product ring of R and R', a Noetherian homogeneous K-algebra. For a graded R-module $M = \bigoplus_{n \in \mathbb{Z}} M_n$ and a graded R'-module $M' = \bigoplus_{n \in \mathbb{Z}} M'_n$ let $M \boxtimes_K M' := \bigoplus_{n \in \mathbb{Z}} M_n \otimes_K M'_n \subseteq M \otimes_K M'$ the Segre product module of M and M', a graded $R \boxtimes_K R'$ module. Keep in mind, that the Künneth relations (for Segre products) yield isomorphism of graded $R \boxtimes_K R'$ -modules

$$D^{i}_{(R\boxtimes_{K}R')_{+}}(M\boxtimes_{K}M') \cong \bigoplus_{j=0}^{i} D^{j}_{R_{+}}(M)\boxtimes_{K} D^{i-j}_{R'_{+}}(M')$$

for all $i \in \mathbb{N}_0$ (cf. [23], [14], [20]).

Lemma 4.6. Let d > 1 and set $R := K[x_1, \cdots, x_d]$ be a polynomial ring over some infinite field K. Let $\mathbb{S} \subseteq \{0, 1, \cdots, d-1\} \times \mathbb{Z}$ such that

- (1) \mathbb{S} contains no quasi-diagonal,
- (2) $\mathbb{S} \cap (\{0, \dots, d-2\} \times \mathbb{Z})$ contains a quasi-diagonal $\{(i, n_i) \mid i = 0, \dots, d-2\}$ and

(3) $\mathbb{S} \cap (\{d-1\} \times \mathbb{Z}) \neq \emptyset.$

Then

- (a) $(d-1,n) \notin \mathbb{S}$ for all $n \ll 0$,
- (b) There is a family $(M_k)_{k \in \mathbb{N}}$ of finitely generated graded R-modules, locally free of rank $\leq ((d-1)!)^2$ on $\operatorname{Proj}(R)$ such that the set $\{d^i_{M_k}(n) \mid k \in \mathbb{N}\}$ is finite for all $(i, n) \in \mathbb{S}$ and

$$\lim_{k \to \infty} d_{M_k}^{d-1}(r) = \infty, \text{ where } r := \inf\{n \in \mathbb{Z} \mid (d-1, n) \in \mathbb{S}\} - 1.$$

Proof. For all $i \in \{1, \dots, d\}$ we write $R^i := K[x_1, \dots, x_i]$ and $\mathbb{S}^i := \mathbb{S} \cap (\{i\} \times \mathbb{Z})$. Statement (a) follows immediately from our hypotheses on the set \mathbb{S} . So, it remains to prove statement (b). After shifting appropriately we may assume that r = -1.

By our hypotheses on S it is clear that $S^i \neq \emptyset$ for all $i \in \{0, \dots, d-1\}$. Let

$$\alpha_i := \sup\{n \in \mathbb{Z} \mid (i, n) \in \mathbb{S}^i\} \text{ for all } i \in \{0, \cdots, d-1\}.$$

Then by our hypothesis on S we have $\alpha_i < \infty$ for some $i \in \{1, \dots, d-2\}$. Let

 $s := \min\{i \in \{0, \cdots, d-2\} \mid \alpha_i < \infty\}$

and

$$n_s := \max\{n \in \mathbb{Z} \mid (s, n) \in \mathbb{S}^s\}$$

Now, we may find a quasi-diagonal $\{(i, n_i) \mid i = 0, \dots, d-2\}$ in $\mathbb{S} \cap (\{0, \dots, d-2\} \times \mathbb{Z})$ such that for all $i \in \{s+1, \dots, d-2\}$ we have

$$n_i = \max\{n < n_{i-1} \mid (i, n) \in \mathbb{S}\}.$$

As S contains no quasi-diagonal, we must have $n_{d-2} \leq 0$. For all $m, n \in \mathbb{Z} \cup \{\pm \infty\}$ we write $]m, n[:= \{t \in \mathbb{Z} \mid m < t < n\}$. Using this notation we set

 $t_{-1} := \infty; \ t_{d-s-1} := -\infty; \ t_i := \max\{d-s-i-2, n_{i+s}\}, \ \forall i \in \{0, \cdots, d-s-2\}$ and write

$$P := \bigcup_{i=0}^{d-s-1} (\{i\} \times]t_i, t_{i-1}[).$$

Observe, that by our choice of the pairs (i, n_i) we have

(*) if $s \le i \le d-1$ and $(i,n) \in \mathbb{S}$, then $(i-s,n) \notin P$.

Moreover by [5, 2.7] the set $P \subseteq \{0, \dots, d-s-1\} \times \mathbb{Z}$ is a minimal combinatorial pattern of width d-s-1. So, by [5, Proposition 4.5], there exists a finitely generated \mathbb{R}^{d-s} -module N, locally free of rank $\leq (d-s-1)!$ on $\operatorname{Proj}(\mathbb{R}^{d-s})$ such that $\mathcal{P}_N = P$.

Now, consider the Segre product ring $S := R^{s+1} \boxtimes_K R^{d-s}$ and for each $k \in \mathbb{N}$ let M_k be the finitely generated graded S-module $R^{s+1}(-k) \boxtimes_K N$, which is locally free of rank $\leq (d-1)!/s!$ on $\operatorname{Proj}(S)$. Observe that

$$d_{R^{s+1}}^j \equiv 0$$
 for all $j \neq 0, s$ and $d_N^l \equiv 0$ for all $l > d - s - 1$.

Now, we get from the Künneth relations (cf. Reminder 4.5) for all $i \in \{0, \dots, d-1\}$ and all $n \in \mathbb{Z}$

$$d_{M_k}^i(n) = \begin{cases} d_{R^{s+1}}^0(-k+n)d_N^i(n) & \text{for } 0 \le i < s \\ d_{R^{s+1}}^0(-k+n)d_N^i(n) + d_{R^{s+1}}^s(-k+n)d_N^{i-s}(n) & \text{for } s \le i \le d-s-1, \\ d_{R^{s+1}}^s(-k+n)d_N^{i-s}(n) & \text{for } d-s-1 < i \le d-1. \end{cases}$$

As $P = \mathcal{P}_N$ and in view of (*) we have $d_N^{i-s}(n) = 0$ for all $(i, n) \in \mathbb{S}$ with $s \leq i \leq d-1$. Moreover, for all $n \in \mathbb{Z}$ and all $k \in \mathbb{N}$ we have $d_{R^{s+1}}^0(-k+n) \leq d_{R^{s+1}}^0(n-1)$. So for all $k \in \mathbb{N}$ and all $(i, n) \in \mathbb{S}$ we get

$$d_{M_k}^i(n) \begin{cases} \leq d_{R^{s+1}}^0(n-1)d_N^i(n), & \text{for } 0 \leq i \leq d-s-1, \\ = 0, & \text{if } d-s-1 < i \leq d-1. \end{cases}$$

Therefore the set $\{d^i_{M_k}(n) \mid k \in \mathbb{N}\}$ is finite for all $(i, n) \in \mathcal{S}$.

 $\begin{array}{l} \text{Moreover } d^{d-1}_{M_k}(-1) = d^s_{R^{s+1}}(-k-1)d^{d-s-1}_N(-1). \text{ As } (d-s-1,-1) \in P \text{ we have } d^{d-s-1}_N(-1) > \\ 0 \text{ and hence } d^s_{R^{s+1}}(-k-1) = \binom{k}{s} \text{ implies that} \\ & \lim_{k \to \infty} d^{d-1}_{M_k}(-1) = \infty. \end{array}$

As dim(S) = d, there is a finite injective morphism $R \longrightarrow S$ of graded rings, which turns S in an R-module of rank (d-1)!/s!(d-s-1)!. So M_k becomes an R-module locally free of rank $\leq [(d-1)!/s!(d-s-1)!][(d-1)!/s!] \leq ((d-1)!)^2$ on Proj(R). Moreover, by Graded Base Ring Independence of Local Cohomology, we get isomorphisms of graded R-modules $D_{S_+}^j(M_k) \cong D_{R_+}^j(M_k)$ for all $j \in \mathbb{N}_0$. Now, our claim follows easily. \Box

Definition 4.7. A class $\mathcal{D} \subseteq \mathcal{D}^d$ is said to be *big*, if for each $t \in \{1, \dots, d\}$ there is an infinite field K such that \mathcal{D} contains all pairs (R, M) in which R is the polynomial ring $K[x_1, \dots, x_t]$.

Proposition 4.8. Let $C \subseteq D^d$ be a big class and let $\mathbb{S} \subseteq \{0, \dots, d-1\} \times \mathbb{Z}$ be a set which bounds cohomology in C. Then \mathbb{S} contains a quasi-diagonal.

Proof. There is an infinite field K such that with $R := K[x_1, \dots, x_d]$ we have $(R, R(-k)) \in \mathcal{C}$ for all $k \in \mathbb{N}$. The set $\{d^i_{R(-k)}(n) \mid k \in \mathbb{N}\}$ is finite for all $(i, n) \in \{0, \dots, d-2\} \times \mathbb{Z}$ and $\lim_{k \to \infty} d^{d-1}_{R(-k)}(0) = \infty$. It follows that $\mathbb{S}^{d-1} := \mathbb{S} \cap (\{d-1\} \times \mathbb{Z}) \neq \emptyset$. This proves our claim if d = 1.

So, let d > 1. Clearly $\mathcal{D}^{d-1} \cap \mathcal{C} \subseteq \mathcal{D}^{d-1}$ is a big class and $\mathbb{S}^{<(d-1)} = \mathbb{S} \cap (\{0, \cdots, d-2\} \times \mathbb{Z})$ bounds cohomology in $\mathcal{D}^{d-1} \cap \mathcal{C}$ (s. Remark 3.7 (C)). So, by induction the set $\mathbb{S}^{<(d-1)}$ contains a quasi-diagonal. If \mathbb{S} would contain no quasi-diagonal, Lemma 4.6 would imply that for our polynomial ring R there is a class \mathcal{D} of pairs $(R, M) \in \mathcal{D}^d$ which is not of bounded cohomology but such that the set $\{d_M^i(n) \mid (R, M) \in \mathcal{D}\}$ is finite for all $(i, n) \in \mathbb{S}$. As \mathcal{C} is a big class, we have $\mathcal{D} \subseteq \mathcal{C}$, and this would imply the contradiction that \mathbb{S} does not bound cohomology in \mathcal{C} .

Theorem 4.9. Let $C \subseteq D^d$ be a big class and let $\mathbb{S} \subseteq \{0, \dots, d-1\} \times \mathbb{Z}$. Then \mathbb{S} bounds cohomology in C if and only if \mathbb{S} contains a quasi-diagonal.

Proof. Clear by Corollary 4.4 and Proposition 4.8.

Corollary 4.10. The set $\mathbb{S} \subseteq \{0, \dots, d-1\} \times \mathbb{Z}$ bounds cohomology in \mathcal{D}^d if and only if \mathbb{S} contains a quasi-diagonal.

Proof. Clear by Theorem 4.9.

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5. Bounding Invariants

In this section we investigate numerical invariants which bound cohomology.

Definitions 5.1. (A) (s. [2], [8], [9]). Let $\mathcal{C} \subseteq \mathcal{D}^d$ be a subclass. A numerical invariant on the class \mathcal{C} is a map

$$\mu: \mathcal{C} \to \mathbb{Z} \cup \{\pm \infty\}$$

such that for any two pairs $(R, M), (R, N) \in \mathcal{C}$ with $M \cong N$ we have $\mu(R, M) = \mu(R, N)$. We shall write $\mu(M)$ instead of $\mu(R, M)$.

(B) Let $(\mu_i)_{i=1}^r$ be a family of numerical invariants on the subclass $\mathcal{C} \subseteq \mathcal{D}^d$. We say that the family $(\mu_i)_{i=1}^r$ bounds cohomology on the class \mathcal{C} , if for each $(n_1, \cdots, n_r) \in (\mathbb{Z} \cup \{\pm \infty\})^r$ the class

$$\{(R, M) \in \mathcal{C} \mid \mu_i(M) = n_i \text{ for all } i \in \{1, \cdots, r\}\}$$

is of bounded cohomology.

(C) A numerical invariant μ on the class $\mathcal{C} \subseteq \mathcal{D}^d$ is said to be *finite* if $\mu(M) \in \mathbb{Z}$ for all $(R, M) \in \mathcal{C}$.

(D) A numerical invariant μ on the class $\mathcal{C} \subseteq \mathcal{D}^d$ is said to be *positive* if $\mu(M) \ge 0$ for all $(R, M) \in \mathcal{C}$.

Remark 5.2. (A) If $\mu : \mathcal{C} \to \mathbb{Z} \cup \{\pm \infty\}$ is a numerical invariant on the class $\mathcal{C} \subseteq \mathcal{D}^d$ and if $\mathcal{D} \subseteq \mathcal{C}$, then the restriction $\mu \upharpoonright_{\mathcal{D}} : \mathcal{D} \to \mathbb{Z} \cup \{\pm \infty\}$ is a numerical invariant on the class \mathcal{D} . Clearly, if μ is finite (resp. positive) then so is $\mu \upharpoonright_{\mathcal{D}}$.

(B) If $(\mu_i)_{i=1}^r$ bounds cohomology on the class $\mathcal{C} \subseteq \mathcal{D}^d$ and if $\mathcal{D} \subseteq \mathcal{C}$, then $(\mu_i \upharpoonright_{\mathcal{D}})_{i=1}^r$ bounds cohomology in \mathcal{D} .

(C) A family $(\mu_i)_{i=1}^r$ of positive numerical invariants bounds cohomology in \mathcal{C} if and only if for all $(n_1, \dots, n_r) \in (\mathbb{N}_0 \cup \{\infty\})^r$ the class

$$\{(R, M) \in \mathcal{C} \mid \mu_i(M) \le n_i \text{ for all } i \in \{1, \cdots, r\}\}$$

is of bounded cohomology.

(D) A family $(\mu_i)_{i=1}^r$ of finite positive invariants bounds cohomology on \mathcal{C} if and only if the sum invariant $\sum_{i=1}^r \mu_i : \mathcal{C} \to \mathbb{N}_0$ bounds cohomology in \mathcal{C} .

Remark 5.3. Let $i \in \mathbb{N}_0$ and $n \in \mathbb{Z}$. Then, the map

 $d^i_{\bullet}(n): \mathcal{D}^d \to \mathbb{N}_0; \ ((R, M) \mapsto d^i_M(n))$

is a finite positive numerical invariant on \mathcal{D}^d .

Theorem 5.4. Let $(n_i)_{i=0}^{d-1}$ be a sequence of integers such that $n_0 > n_1 > n_2 > \ldots > n_{d-1}$. Then the family of numerical invariants $(d^i_{\bullet}(n_i))_{i=0}^{d-1}$ bounds cohomology in \mathcal{D}^d .

Proof. Clear by Proposition 4.2.

Reminder 5.5. For each $k \in \mathbb{N}_0$ we may define the numerical invariant

$$\operatorname{reg}^{k}: \mathcal{D}^{d} \to \mathbb{Z} \cup \{-\infty\}; \ ((R, M) \mapsto \operatorname{reg}^{k}(M)).$$

Notation 5.6. For $(R, M) \in \mathcal{D}^d$ we set

$$\varrho(M) := \begin{cases} d_M^0(\operatorname{reg}^2(M)), & \text{if } \dim(M) > 1, \\ d_M^0(0), & \text{if } \dim(M) \le 1. \end{cases}$$

Remark 5.7. (A) If $(R, M) \in \mathcal{D}^d$ with dim $(M) \leq 1$, the cohomological Hilbert function d_M^0 of M is constant, and this constant is strictly positive if and only if $M \neq 0$.

(B) The function

$$\varrho: \mathcal{D}^d \to \mathbb{N}_0; \ ((R, M) \mapsto \varrho(M))$$

is a finite positive numerical invariant on \mathcal{D}^d .

Theorem 5.8. The pair of invariants $(\operatorname{reg}^2, \varrho)$ bounds cohomology in \mathcal{D}^d .

Proof. Fix $u, v \in \mathbb{Z}$ and set

$$\mathcal{C} := \{ (R, M) \in \mathcal{D}^d \, \big| \, \operatorname{reg}^2(M) = u, \varrho(M) = v \}.$$

If $(R, M) \in \mathcal{C}$ we have $d_M^0(u) = d_M^0(\operatorname{reg}^2(M)) = v$.

Let $i \in \mathbb{N}$. Then $u - i = \operatorname{reg}^2(M) - i > a_{i+1}(M)$ and hence $d_M^i(u - i) = h_M^{i+1}(u - i) = 0$. Therefore (R, M) belongs to the class

$$\mathcal{D} := \{ (R, M) \in \mathcal{D}^d \mid d_M^0(u) = v \text{ and } d_M^i(u-i) = 0 \text{ for all } i \in \{1, \cdots, d-1\} \}.$$

But according to Theorem 5.4 the class \mathcal{D} is of bounded cohomology.

Lemma 5.9. Let $(R, M) \in \mathcal{D}^d$ be such that $\dim(R/\mathfrak{p}) \neq 1$ for all $\mathfrak{p} \in \operatorname{Ass}_R(M)$. Then $d_M^0(n-1) \leq \max\{0, d_M^0(n) - 1\}$ for all $n \in \mathbb{Z}$.

Proof. For an arbitrary finitely generated graded R-module N let

$$\lambda(N) := \inf\{\operatorname{depth}(N_{\mathfrak{p}}) + \operatorname{height}((\mathfrak{p} + R_{+})/\mathfrak{p}) \mid \mathfrak{p} \in \operatorname{Spec}(R) \setminus \operatorname{Var}(R_{+})\}.$$

Clearly, for all $n \in \mathbb{Z}$ we have $\lambda(N(n)) = \lambda(N)$. So, for all $n \in \mathbb{Z}$, we get by our hypotheses that $\lambda(M(n)) = \lambda(M) > 1$. Now, according to [8, Proposition 4.6] we obtain

$$d_M^0(n-1) = d_{M(n)}^0(-1) \le \max\{0, d_{M(n)}^0(0) - 1\} = \max\{0, d_M^0(n) - 1\}.$$

Theorem 5.10. Let $r, s \in \mathbb{Z}$ and let $p \in \mathbb{Q}[t]$ be a polynomial. Let $\mathcal{C} \subseteq \mathcal{D}^d$ be the class of all pairs $(R, M) \in \mathcal{D}^d$ satisfying the following conditions:

.

- (α) There is a finitely generated graded R-module N with Hilbert polynomial $p_N = p$ and $\operatorname{reg}^2(N) \leq r$ such that $M \subseteq N$.
- $(\beta) \qquad \operatorname{reg}^2(M) \le s.$

Then, C is a class of finite cohomology.

Proof. Let $v := \max\{r, s\}$. We first show that for each pair $(R, M) \in \mathcal{C}$ we have

$$(*) \qquad \qquad \varrho(M) \le p(v)$$

and

(**)
$$\dim(M) \le 1 \text{ or } \operatorname{reg}^2(M) \ge -v - p(v).$$

So, let $(R, M) \in \mathcal{C}$. Then, there is a monomorphism of finitely generated graded *R*-modules $M \xrightarrow{\epsilon} N$ such that $p_N = p$ and $\operatorname{reg}^2(N) \leq r \leq v$.

Assume first that $\dim(M) > 1$. As $\operatorname{reg}^2(M) \leq v$ we then get

$$\varrho(M) = d_M^0(\operatorname{reg}^2(M)) \le d_M^0(v) \le d_N^0(v) = p_N(v) = p(v).$$

If $\dim(M) \leq 1$, the function d_M^0 is constant and therefore

$$\varrho(M) = d_M^0(0) = d_M^0(v) \le d_N^0(v) = p_N(v) = p(v).$$

Thus we have proved statement (*).

To prove statement (**) we assume that $\dim(M) > 1$. Then there is a short exact sequence of finitely generated graded R- modules

$$0 \longrightarrow H \longrightarrow M \longrightarrow \overline{M} \longrightarrow 0$$

such that $\dim(H) \leq 1$ and $\operatorname{Ass}_R(\overline{M})$ does not contain any prime \mathfrak{p} with $\dim(R/\mathfrak{p}) \leq 1$. As $\dim(H) \leq 1$, we have $H^i_{R_+}(H) = 0$ for all i > 1. Therefore $H^i_{R_+}(M) \cong H^i_{R_+}(\overline{M})$ for all i > 1 and hence $\operatorname{reg}^2(M) = \operatorname{reg}^2(\overline{M})$. Moreover by the observation made on $\operatorname{Ass}_R(\overline{M})$, we have (s. Lemma 5.9)

$$d_{\overline{M}}^0(n-1) \le \max\{0, d_{\overline{M}}^0(n) - 1\} \text{ for all } n \in \mathbb{Z}.$$

As $D^{1}_{R_{+}}(H) = H^{2}_{R_{+}}(H) = 0$, we have

$$d_{\overline{M}}^{0}(v) \le d_{M}^{0}(v) \le d_{N}^{0}(v) = p_{N}(v) = p(v)$$

and it follows that

$$d_{\overline{M}}^{0}(n) = 0$$
 for all $n \le -v - p(v) - 1$.

One consequence of this is, that $T := D^0_{R_+}(\overline{M})$ is a finitely generated *R*-module. As $H^i_{R_+}(M) \cong H^i_{R_+}(\overline{M})$ for all i > 1, we have $\operatorname{reg}^2(T) = \operatorname{reg}^2(\overline{M}) = \operatorname{reg}^2(M)$. As $H^i_{R_+}(T) = 0$ for i = 0, 1, we thus get $\operatorname{reg}^2(M) = \operatorname{reg}(T)$. As $T_n = 0$ for all $n \leq -v - p(v) - 1$, we finally obtain (s. Reminder 2.2(E))

$$\operatorname{reg}^{2}(M) = \operatorname{reg}(T) \ge \operatorname{gendeg}(T) \ge \operatorname{beg}(T) \ge -v - p(v).$$

This proves statement (**).

Now, we may write

$$\mathcal{C} \subseteq \mathcal{C}_{-\infty} \cup \bigcup_{t=-v-p(v)}^{s} \mathcal{C}_{t},$$

where

$$\mathcal{C}_{-\infty} := \{ (R, M) \in \mathcal{D}^d \mid \dim(M) \le 1 \text{ and } \varrho(M) \le p(v) \}$$

and, for all $t \in \mathbb{Z}$ with $-v - p(v) \le t \le s$,

$$\mathcal{C}_t := \{ (R, M) \in \mathcal{D}^d \mid \operatorname{reg}^2(M) = t, \ \varrho(M) \le p(v) \}.$$

The class $\mathcal{C}_{-\infty}$ clearly is of bounded cohomology.

Now, by Remark 5.2(C) and by Corollary 5.8, each of the classes C_t is of bounded cohomology. This proves our claim.

Corollary 5.11. Let $r \in \mathbb{Z}$ and let $p \in \mathbb{Q}[t]$ be a polynomial. Let $\mathcal{C} \subseteq \mathcal{D}^d$ be the class of all pairs $(R, M) \in \mathcal{D}^d$ satisfying the condition (α) of Theorem 5.10. Then, the invariant reg² bounds cohomology in the class \mathcal{C} .

Proof. This is immediate by Theorem 5.10.

Corollary 5.12. Let $r \in \mathbb{Z}$ and let $(R, N) \in \mathcal{D}^d$. If M runs through all graded submodules $M \subseteq N$ with $\operatorname{reg}^2(M) \leq r$, only finitely many cohomology tables d_M and hence only finitely many Hilbert polynomials p_M occur.

Proof. This is clear by Theorem 5.10.

Corollary 5.13. Let $r \in \mathbb{Z}$ and let $(R, N) \in \mathcal{D}^d$. If M runs through all graded submodules of N with $\operatorname{reg}^1(M) \leq r$ only finitely many families

$$(h_M^i(n))_{(i,n)\in\mathbb{N}_0 imes\mathbb{Z}}$$
 and $(h_{N/M}^i(n))_{(i,n)\in\mathbb{N}_0 imes\mathbb{Z}}$

can occur.

Proof. Let \mathcal{P} be the set of all graded submodules $M \subseteq N$ with $\operatorname{reg}^1(M) \leq r$.

Now, for each $M \in \mathcal{P}$ we have the following three relations

$$d_M^i(n) = h_M^{i+1}(n) \text{ for all } i \ge 1 \text{ and all } n \in \mathbb{Z};$$

$$\begin{cases}
h_M^1(n) \le d_M^0(n) & \text{ for all } n \in \mathbb{Z}; \\
h_M^1(n) = d_M^0(n) & \text{ for all } n < \text{beg}(N); \\
h_M^1(n) = 0 & \text{ for all } n \ge r
\end{cases}$$

and

 $h_M^0(n) \le h_N^0(n)$ for all $n \in \mathbb{Z}$.

So, by Corollary 5.12 the set

$$\mathcal{U} := \{ (h_M^i(n))_{(i,n) \in \mathbb{N}_0 \times \mathbb{Z}} \mid M \in \mathcal{P} \}$$

is finite.

For each $M \in \mathcal{P}$ the short exact sequence $0 \longrightarrow M \longrightarrow N \longrightarrow N/M \longrightarrow 0$ yields that for all $n \in \mathbb{Z}$ and all $i \in \mathbb{N}_0$

$$h_{N/M}^{0}(n) \le h_{N}^{0}(n) + h_{M}^{1}(n), \tag{1}$$

$$d_{N/M}^{i}(n) \le d_{N}^{i}(n) + h_{M}^{i+2}(n).$$
(2)

By the finiteness of \mathcal{U} it follows that the set of functions

$$\mathcal{U}_0 := \{ (h_{N/M}^0(n))_{n \in \mathbb{Z}} \mid M \in \mathcal{P} \}$$

is finite and that the set of cohomology diagonals

$$\mathcal{W} := \{ (d^i_{N/M}(-i))_{i=0}^{d-1} \mid M \in \mathcal{P} \}$$

is finite.

In view of Theorem [6, Theorem 5.4] the finiteness of \mathcal{W} implies that the set

$$\mathcal{U}_1 := \{ (d^i_{N/M}(n))_{(i,n) \in \mathbb{N}_0 \times \mathbb{Z}} \mid M \in \mathcal{P} \}$$

is finite. Moreover for all $M \in \mathcal{P}$ we have

$$\operatorname{end}(H^1_{R_+}(N/M)) < \operatorname{reg}^1(N/M) \le \max\{\operatorname{reg}^2(M) - 1, \operatorname{reg}^2(N)\} \le \max\{r - 1, \operatorname{reg}^1(N)\};$$

 $h_{N/M}^1(n) \leq d_{N/M}^0(n)$ for all $n \in \mathbb{Z}$, with equality if n < beg(N).

As $d_{N/M}^i \equiv h_{N/M}^{i+1}$ for all i > 0 the finiteness of \mathcal{U}_0 and \mathcal{U}_1 shows that the set

$$\{(h_{N/M}^{i}(n))_{(i,n)\in\mathbb{N}_{0}\times\mathbb{Z}}\mid M\in\mathcal{P}\}$$

is finite, too.

Corollary 5.14. Assume that R is a homogeneous Noetherian Cohen-Macaulay ring with Artinian local base ring R_0 . Let $s \in \mathbb{Z}$ and let N be a finitely generated graded R-module. If M runs trough all graded submodules of N with gendeg $(M) \leq s$ only finitely many families

$$(h_M^i(n))_{(i,n)\in\mathbb{N}_0\times\mathbb{Z}}$$
 and $(h_{N/M}^i(n))_{(i,n)\in\mathbb{N}_0\times\mathbb{Z}}$

may occur.

Proof. By [4, Proposition 6.1] we see that $\operatorname{reg}(M)$ finds an upper bound in terms of $\operatorname{gendeg}(M)$, $\operatorname{reg}(N)$, $\operatorname{reg}(R)$, $\operatorname{beg}(N)$, $\operatorname{dim}(R)$, the multiplicity $e_0(R)$ of R and the minimal number of homogeneous generators of the R-module N. Now, we conclude by Corollary 5.13.

Remark 5.15. If we apply Corollary 5.13 in the special case where $N = R = K[x_1, \dots, x_r]$ is a polynomial ring over a field, we get back the finiteness result [17, Corollary 14]. Correspondingly, if we apply Corollary 5.14 in this special case, we get back [17, Corollary 20].

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MARKUS BRODMANN, UNIVERSITY OF ZÜRICH, INSTITUTE OF MATHEMATICS, WINTERTHURERSTRASSE 190, 8057 ZÜRICH.

 $E\text{-}mail \ address: \ brodmann@math.uzh.ch$

MARYAM JAHANGIRI, SCHOOL OF MATHEMATICS AND COMPUTER SCIENCES, DAMGHAN UNIVERSITY OF BASIC SCIENCES, DAMGHAN, IRAN.

 $E\text{-}mail\ address:\ \texttt{jahangiriQdubs.ac.ir}$

CAO HUY LINH, DEPARTMENT OF MATHEMATICS, COLLEGE OF EDUCATION, HUE UNIVERSITY, 32 LE LOI, HUE CITY, VIETNAM.

E-mail address: huylinh2002@yahoo.com